

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **BALAXI PHARMACEUTICALS LIMITED**
 2. Quarter ending - **30-Sep-2022**

i. Composition Of Board of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/N on-Executive/Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment (Mandatory for ID)	Date of cessation	Tenure (Mandatory for ID)	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee (s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Memberships in Committees of the Company	Remarks
Mr.	ASHISH MAHESHWARI	01575984	ADKPM 6911H	C & ED	MD	04-May-2017				20-Apr-1970	No					NA		1	0	1	0	AC,RMC	
Mrs.	MINOSHI MAHESHWARI	01575975	ADGPM 5813J	NED		04-May-2017				08-Jul-1972	No					NA		1	0	1	0	SC,NRC	
Mr.	KUNAL MAHENDRA BHAKTA	01710557	AFFPB8 612K	ID		04-May-2017	04-May-2022		65	10-Jan-1982	No					NA		1	1	2	2	AC,SC,NRC	
Mr.	GANDHI GAMJI	01944694	AOLPG 5480R	ID		04-May-2017	04-May-2022		65	12-Oct-1969	No					NA		1	1	2	0	AC,SC,RMC,NRC	
Mr.	M SRINIVAS RAO	08095079	ACHPR 6536R	ID		15-Sep-2020	15-Sep-2020		25	09-Aug-1960	No					NA		2	2	2	0	NA	
Mrs.	PURNIMA SINGH KAMBLE	00241708	AHBP 4945J	ID		26-Mar-2021	26-Mar-2021		18	30-Jan-1972	No					NA		1	1	0	0	NA	

Company Remarks	-
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	KUNAL MAHENDRA BHAKTA	ID	Chairperson	04-May-2017	
2	GANDHI GAMJI	ID	Member	04-May-2017	
3	ASHISH MAHESHWARI	C & ED	Member	04-May-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	KUNAL MAHENDRA BHAKTA	ID	Chairperson	04-May-2017	

2	MINOSHI MAHESHWARI	NED	Member	04-May-2017	
3	GANDHI GAMJI	ID	Member	04-May-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	ASHISH MAHESHWARI	C & ED	Chairperson	20-May-2021	
2	GANDHI GAMJI	ID	Member	20-May-2021	
3	AMOL MANTRI	CFO	Member	20-May-2021	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	MINOSHI MAHESHWARI	NED	Member	12-Feb-2018	
2	KUNAL MAHENDRA BHAKTA	ID	Chairperson	04-May-2017	
3	GANDHI GAMJI	ID	Member	04-May-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent Directors present
25-Apr-2022	Yes	6	6	4
23-May-2022	Yes	6	6	4
02-Aug-2022	Yes	6	6	4
05-Sep-2022	Yes	6	6	4

Company Remarks	
Maximum gap between any two consecutive (in number of days)	70

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting of the committee(s) in the previous quarter and in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Total Number of Directors attended the meeting (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	25-Apr-2022	Yes	3	3	2	0
Audit Committee	23-May-2022	Yes	3	3	2	0
Nomination & Remuneration Committee	25-Apr-2022	Yes	3	3	2	0
Risk Management Committee	01-Aug-2022	Yes	2	2	1	1
Audit Committee	02-Aug-2022	Yes	3	3	2	0

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	70

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

Nil

Name : **Ashish Maheshwari**
Designation : **Managing Director**

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

Nil

Name : Ashish Maheshwari
Designation : Managing Director

ANNEXURE IV

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	Nil	0	0
<i>Promoter Group or any other entity controlled by them</i>	Nil	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	Nil	0	0
<i>KMPs or any other entity controlled by them</i>	Nil	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>	Nil	0	0

Promoter Group or any other entity controlled by them	Nil	0	0
Directors (including relatives) or any other entity controlled by them	Nil	0	0
KMPs or any other entity controlled by them	Nil	0	0

(D) If the Listed Entity would like to provide any other information the same may be indicated here

Nil

Affirmations
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status -

Name: AMOL MANTRI
Designation: Chief Financial Officer
Place: Dubai
Date: 19-Oct-2022