

General information about company	
Scrip code	000000
NSE Symbol	BALAXI
MSEI Symbol	NOTLISTED
ISIN	INE618N01014
Name of the company	BALAXI PHARMACEUTICALS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Capital Restructuring
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	23-02-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	23-02-2024
Shareholding pattern filed under	Regulation 31 (1) (c)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	Yes	No	Yes	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	No	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	17.69
As on the end of previous 1st quarter	100	18.84
As on the end of previous 2nd quarter	100	18.83
As on the end of previous 3rd quarter	100	18.83
As on the end of previous 4th quarter	100	18.93

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	3	7286354			7286354	67.8	7286354		7286354	67.8
(B)	Public	5011	3460896			3460896	32.2	3460896		3460896	32.2
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	5014	10747250			10747250	100	10747250		10747250	100

Table I - Summary Statement holding of specified securities													
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				65.68					7286354			
(B)	Public		347250	347250	34.32	517050	14.94			3426941	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total		347250	347250	100	517050	4.81			10713295	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	286354			286354	2.66	286354		286354	2.66
(d)	Any Other (specify)	1	7000000			7000000	65.13	7000000		7000000	65.13
Sub-Total (A)(1)		3	7286354			7286354	67.8	7286354		7286354	67.8
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		3	7286354			7286354	67.8	7286354		7286354	67.8
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(d)	Banks	1	2632			2632	0.02	2632		2632	0.02
Sub-Total (B)(1)		1	2632			2632	0.02	2632		2632	0.02
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	1	950000			950000	8.84	950000		950000	8.84
(e)	Foreign Portfolio Investors Category II	1	925000			925000	8.61	925000		925000	8.61
Sub-Total (B)(2)		2	1875000			1875000	17.45	1875000		1875000	17.45
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(c)	Key Managerial Personnel	1	173465			173465	1.61	173465		173465	1.61
(f)	Investor Education and Protection Fund (IEPF)	1	77716			77716	0.72	77716		77716	0.72
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	4812	523108			523108	4.87	523108		523108	4.87
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	3	176028			176028	1.64	176028		176028	1.64
(i)	Non Resident Indians (NRIs)	75	32724			32724	0.3	32724		32724	0.3
(l)	Bodies Corporate	35	439052			439052	4.09	439052		439052	4.09
(m)	Any Other (specify)	81	161171			161171	1.5	161171		161171	1.5

Sub-Total (B)(4)		5008	1583264			1583264	14.73	1583264		1583264	14.73
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		5011	3460896			3460896	32.2	3460896		3460896	32.2
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		5014	10747250			10747250	100	10747250		10747250	100
Total (A+B+C)		5014	10747250			10747250	100	10747250		10747250	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				2.58					286354			
(d)				63.09					7000000			
Sub-Total (A) (1)				65.68					7286354			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				65.68					7286354			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(d)		0	0	0.02	0	0			0	0	0	0
Sub-Total (B) (1)		0	0	0.02	0	0			0	0	0	0
(2)	Institutions (Foreign)											
(d)		0	0	8.56	0	0			950000	0	0	0
(e)		0	0	8.34	0	0			925000	0	0	0
Sub-Total (B) (2)		0	0	16.9	0	0			1875000	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(c)		0	0	1.56	0	0			173465	0	0	0
(f)		0	0	0.7	0	0			77716	0	0	0
(g)		240250	240250	6.88	27550	5.27			491785	0	0	0
(h)		0	0	1.59	12500	7.1			176028	0	0	0
(i)		16000	16000	0.44	0	0			32724	0	0	0
(l)		20000	20000	4.14	341500	77.78			439052	0	0	0
(m)		71000	71000	2.09	135500	84.07			161171	0	0	0
Sub-Total (B) (4)		347250	347250	17.4	517050	32.66			1551941	0	0	0

Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)+(B)(4)		347250	347250	34.32	517050	14.94			3426941	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)		347250	347250	100	517050	4.81			10713295			
Total (A+B+C)		347250	347250	100	517050	4.81			10713295			

Individuals/Hindu undivided Family			
Searial No.	1	2	
Name of the Shareholders (I)	MINOSHI MAHESHWARI	ASHISH MAHESHWARI	Click here to go back
PAN (II)	ADGPM5813J	ADKPM6911H	Total
No. of fully paid up equity shares held (IV)	105580	180774	286354
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	105580	180774	286354
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.98	1.68	2.66
Number of Voting Rights held in each class of securities (IX)			
Class eg:X	105580	180774	286354
Class eg:y			
Total	105580	180774	286354
Total as a % of Total Voting rights	0.98	1.68	2.66
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.95	1.63	2.58

Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	105580	180774	286354
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	BALAXI OVERSEAS PRIVATE LIMITED	
PAN (II)	AAECA0526G	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	7000000	7000000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7000000	7000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	65.13	65.13
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	7000000	7000000
Class eg:y		
Total	7000000	7000000
Total as a % of Total Voting rights	65.13	65.13
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a	63.09	63.09

percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	7000000	7000000
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Foreign Portfolio Investors Category I		
Searial No.	1	
Name of the Shareholders (I)	ELARA INDIA OPPORTUNITIES FUND LIMITED	Click here to go back
PAN (II)	AABCE6307N	Total
No. of fully paid up equity shares held (IV)	950000	950000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	950000	950000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.84	8.84
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	950000	950000
Class eg:y		
Total	950000	950000
Total as a % of Total Voting rights	8.84	8.84
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.56	8.56

Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	950000	950000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Bodies Corporate		
Searial No.	1	
Name of the Shareholders (I)	Rajasthan Global Securities Private Limited	Click here to go back
PAN (II)	AAACR4122R	Total
No. of fully paid up equity shares held (IV)	319000	319000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	319000	319000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.97	2.97
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	319000	319000
Class eg:y		
Total	319000	319000
Total as a % of Total Voting rights	2.97	2.97
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.88	2.88

Number of Locked in shares (XII)		
No. (a)	319000	319000
As a % of total Shares held (b)	100	100
Number of equity shares held in dematerialized form (XIV)	319000	319000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Key Managerial Personnel		
Searial No.	1	
Name of the Shareholders (I)	AMOL ANAND MANTRI	Click here to go back
PAN (II)	AKTPM5103F	Total
No. of fully paid up equity shares held (IV)	173465	173465
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	173465	173465
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.61	1.61
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	173465	173465
Class eg:y		
Total	173465	173465
Total as a % of Total Voting rights	1.61	1.61
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.56	1.56

Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	173465	173465
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Foreign Portfolio Category II		
Searial No.	1	
Name of the Shareholders (I)	MGC FUND LIMITED	Click here to go back
PAN (II)	AAF5737N	Total
No. of fully paid up equity shares held (IV)	925000	925000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	925000	925000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.61	8.61
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	925000	925000
Class eg:y		
Total	925000	925000
Total as a % of Total Voting rights	8.61	8.61
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.34	8.34
Number of Locked in shares (XII)		
No. (a)	0	0

As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	925000	925000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Searial No.	1	
Name of the Shareholders (I)	P P ZIBI JOSE	Click here to go back
PAN (II)	AAAPZ6993K	Total
No. of fully paid up equity shares held (IV)	119128	119128
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	119128	119128
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.11	1.11
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	119128	119128
Class eg:y		
Total	119128	119128
Total as a % of Total Voting rights	1.11	1.11
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.07	1.07

Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	119128	119128
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Director or Director's Relatives	Clearing Members	HUF	Director or Director's Relatives	
Category / More than 1 percentage	Category	Category	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)				Mr. Kunal Bhakta	Click here to go back
PAN (II)				AFFPB8612K	Total
No. of the Shareholders (I)	2	1	78	1	81
No. of fully paid up equity shares held (IV)	144771	10	16390	139771	161171
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	144771	10	16390	139771	161171
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.35	0	0.15	1.3	1.5
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	144771	10	16390	139771	161171
Class eg:y					
Total	144771	10	16390	139771	161171
Total as a % of Total Voting rights	1.35	0	0.15	1.3	1.5
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)	71000	0	0	66000	71000
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	71000	0	0	66000	71000
Shareholding , as a % assuming full conversion of	1.94	0	0.15	1.85	2.09

convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)					
Number of Locked in shares (XII)					
No. (a)	134000	0	1500	134000	135500
As a % of total Shares held (b)	92.56	0	9.15	95.87	84.07
Number of equity shares held in dematerialized form (XIV)	144771	10	14890	139771	159671
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

significant beneficial owners																
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	MINOSHI MAHESHWARI	ADGPM5813J		India		BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		India		50	50	50	Yes	Yes	04-05-2017
Total:											50	50	50			
2	ASHISH MAHESHWARI	ADKPM6911H		India		BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		India		50	50	50	Yes	Yes	04-05-2017
Total:											50	50	50			

