Shareholding Pattern for the quarter ended 31st December, 2021

- 1. Name of Listed Entity: BALAXI PHARMACEUTICALS LIMITED
- 2. Scrip Code/Name of Scrip/Class of Security: BALAXI
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on : 31-Dec-2021
- 5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly	No	
	paid up shares?		
2	Whether the Listed Entity has issued any	No	
	Convertible Securities?		
3	Whether the Listed Entity has any shares against	No	
	which depository receipts are issued?		
4	Whether the Listed Entity has any shares in	Yes	Promoter and Promoter Group
	locked-in?		
5	Whether any shares held by promoters are	No	
	pledge or otherwise encumbered?		
6	Whether the Listed Entity has issued any	No	
	differential Voting Rights?		
7	Whether the Listed Entity has issued any	No	
	Warrants ?		
8	Whether the listed entity has any significant	Yes	
	beneficial owner?		

Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of	h No	(I. of Votin	ch class <u>rities</u> X)	s of Total	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Lock sha		Sh plea othe encun (X No.	(III) As a	Number of equity shares held in dematerializ ed form <u>(XIV)</u>
							(A+B+C2)	Clas s eg: X	<u>Rights</u> Clas s eg:y	Tot al	as a % of (A+B+ C)	(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(<i>a</i>)	% of total Shar es held (b)	(a)	% of total Share s held (b)	
А	Promoter & Promoter Group	3	7286354	0	0	7286354	72.86	728635 4	0	72863 54	72.86			5060000	69.44			7286354
В	Public	3868	2713646	0	0	2713646	27.14	271364 6	0	27136 46	27.14	0	27.14		0			2665286
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0		0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	3871	10000000	0	0	10000000	100	100000 00	0	10000 000	100	0	100	5060000	50.6			9951640

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name	PAN	No.	No. of	Partly	Nos. of	Total	Sharehol	Number	• of Voting	Rights hel	d in	No. of	Shareholdin	Numbe	r of	Nun	nber of	Number of
of the	(II)	share	fully	paid-up	shares	nos.	ding %	eac	h class of			Shares	g, as a %	Locked			hares	equity
Shareholders		olde	paid	equity	underlying	shares	calculate	_		(IX)		Underlying	assuming	share			lged or	shares held
(I)		(III)	up	shares	Depositor	held	d as per	_				Outstanding	full	(X.	(I)		erwise	in
			equity share	held (V)	Receipts (VI)	(VII = IV+V+V	SCRR, 1957					convertible securities	conversion of				mbered III)	dematerializ ed form
			s held	(1)	(VI)	I)	1337	No of V	oting Rig	hts	Total as	(including	convertible	No.	As a	No	Asa	(XIV)
		·	(IV)			,	As a % of				a % of	Warrants)	securities ((a)	% of		%	()
							(A+B+C2)				Total	(X)	as a		total	(a)	of	
							(VIII)	Class	Class Y	Tot al	Voting		percentage		Shar		tota	
								X			rights		of diluted	_	es		1	
													share capital)	-	held		shar	
													(XI) =	-	(b)		es	
													(VII)+(X) as a				held (b)	
													% of				(0)	
													A+B+C2					
Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Individuals/Hindu	1	2	286354	0	0	286354	2.86	286354	0	286354	2.86	0	2.86	60036	20.97			286354
undivided Family																		
MINOSHI	ADGPM58	1	105580	0	0	105580	1.06	105580	0	105580	1.06	0	1.06	0	0			105580
MAHESHWARI	13J																	
ASHISH	ADKPM69	1	180774	0	0	180774	1.81	180774	0	180774	1.81	0	1.81	60036	33.21			180774
MAHESHWARI	11H																	
Central		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Government/ State	2																	
Government(s)																		
Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Institutions/ Banks	3	-	-	-	-		-	-			-	-	-		-			-
Any Other		1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000
(specify)		_			-				-			Ĩ						
Bodies Corporate		1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000
Sub-Total (A)(1)		3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354
Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Individuals (Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0	1		0
Resident																		
Individuals/									1									
Foreign																		
Individuals)																		
Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Foreign Portfolio		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Investor																		
Any Other		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
(specify)																		
Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Total Shareholding	:	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354

of Promoter and Promoter Group (A)= (A)(1)+(A)(2)																
OVEDCEAC	AAECA052 6G	1 7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43	7	000000

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957 As a % of (A+B+C2) VIII		each class	ing Rights h of securities IX) ghts Tot al		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Lock shu	liber of ked in ares (II) As a % of total Shar es held (b)	Sh plea oth encu	nber of lares lged or eravise mbered (III) As a % of total share s held (Not applic able)	Number of equity shares held in dematerializ ed form (XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	righ	0		0	0	(a)	<i>(b)</i>	0
	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Venture Capital		0	0	0	0	0	0	0	0	0	0	0	0	+	0	$\left \right $		0
	Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Alternate		0	0	0	0	0	0	0	0	0	0	0	0		0			0
-	Investment Funds		°	0	Ŭ	C C	0	0	°	0	Ŭ	U	0	0		Ŭ			0
	Foreign Venture		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Capital Investors		-	-	-	-	-	-		-		_	-	-		-			
	Foreign Portfolio		3	1945000	0	0	1945000	19.45	1945000	0	1945000	19.45	0	19.45		0			1945000
	Investors																		
	ELARA INDIA	AABCE	1	950000	0	0	950000	9.5	950000	0	950000	9.5	0	9.5		0			950000
	OPPORTUNITIES	6307N																	
	FUND LIMITED																		
		AAFCM	1	950000	0	0	950000	9.5	950000	0	950000	9.5	0	9.5		0			950000
		5737N																	
	CAPITAL FUND																		
	LIMITED		1	0.000	0	0	0.000	0.00	0.000	0	0.000	0.02	0	0.02		0			0
	Financial Institutions/ Banks		1	2632	0	0	2632	0.03	2632	0	2632	0.03	0	0.03		0			0
	/	, 	0	0	0	0	0	0	0	0	0	0	0	0		0			0
g	Insurance Companies		0	U	U	U	U	0	U	0	U	0	U	U		0			0
h	Provident Funds/		0	0	0	0	0	0	0	0	0	0	0	0	+	0			0
	Pension Funds		°	0	C	Г Г	^o	U III	Ŭ.	U III	U III	^o		ř		Ŭ			0
	Any Other		0	0	0	0	0	0	0	0	0	0	0	0	1	0			0
Î	(specify)		č	č	Ĩ	Ň	Č	Ň	Ĩ	č	ĭ	ř	×	č		ř			~
	Sub-Total (B)(1)		4	1947632	0	0	1947632	19.48	1947632	0	1947632	19.48	0	19.48	0	0			1945000
	Central		0	0	0	0	0	0	0	0	0	0	0	0	1	0			0
	Government/ State					1	1				1	1			1	Г			

	Government(s)/																
	President of India																
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
а	Individuals -		3703	619434	0	0	619434	6.19	619434	0	619434	6.19	0	6.19	0	0	573706
i	Individual shareholders holding nominal		3700	355945	0	0	355945	3.56	355945	0	355945	3.56	0	3.56		0	310217
	share capital up to Rs. 2 lakhs.																
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		3	263489	0	0			263489		263489	2.63	0	2.63		0	263489
		AKTPM 5103F	1	173465	0	0	173465	1.73	173465	0	173465	1.73	0	1.73		0	173465
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0		0	0
с	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0		0	0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0		0	0
e	Any Other (specify)		161	146580	0	0	146580	1.47	146580	0	146580	1.47	0	1.47		0	146580
	HUF		58	6621	0	0	6621	0.07	6621	0	6621	0.07	0	0.07		0	6621
	Director or Director's Relatives		1	4605	0	0	4605	0.05	4605	0	4605	0.05	0	0.05		0	4605
	Non-Resident Indian (NRI)		62	18656	0	0	18656		18656	0	18656	0.19	0	0.19		0	18656
	Bodies Corporate		22	39835	0	0	39835	0.4	39835	0	39835	0.4	0	0.4		0	39835
	Clearing member		17	2057	0	0	2057	0.02	2057	0	2057	0.02	0	0.02		0	2057
	IEPF		1	74806	0	0	74806	0.75	74806	0	74806	0.75	0	0.75		0	74806
	Sub-Total (B)(3)		3864	766014	0	0	766014	7.64	766014	0	766014	7.66	0	7.66	0	0	720286
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		3868	2713646	0	0	2713646	27.12	2713646	0	2713646	27.14	0	27.14	0	0	2665286

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	er of Voting	g Rights	s held in	No. of	Total	Numb	ver of	Num	ıber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	еа	ch class of		ies	Shares	shareholdin	Lock	ed in		ares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(I	X)		Underlying	g, as a %	sha		pledy	ged or	shares held
	(I)		(III)	ир	shares	Depository	<u>(VII =</u>	d as per					Outstanding	assuming	(X	II)		rwise	in
				equity	held	Receipts	IV+V+VI)						convertible	full			encun		dematerializ
				share	(V)	(VI)		1957				1	securities	conversion				(III)	ed form
				s held				As a % of	No of	Voting Rig	hts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)				a % of	Warrants)	convertible		% of	(No	% of	(Not
								(VIII)				Total	(X)	securities (total	t	total	Applicable)
									Class	Class	Tot	Voting		as a	-	Shar	ap	share	
									X	Ŷ	al	rights		percentage	-	es	pli	s held	
														of diluted share	-	held	са	(Not	
														capital)	-		ble	applic	
														(XI))	able)	
1 (Custodian/DR		0	0	0	0	0		0	0	0	0	0	(211)		0			0
			0	0	0	0	0		0	0	0	0	0			0			0
	Holder		-		2		2			~									2
	Employee Benefit		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Trust (under SEBI																		
	(Share based																		
	Employee Benefit)																		
	Regulations, 2014)																		
	Total Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Promoter- Non		0	0	U	U	U	U C	U	U I	U I	v	0	v	0	0			0
	Public																		
	Shareholding (C)=																		
	(C)(1)+(C)(2)																		

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a	Nationality	Details of holding/ e.	xercise of right of the SBC) in the reporting company, wh	ether direct or indirect	÷	Date of creation/ acquisition of
			foreign national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant beneficial interest
1	MINOSHI MAHESHWARI	ADGPM5813J		INDIAN	30.65	30.65	30.65			
А	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017
2	ASHISH MAHESHWARI	ADKPM6911H		INDIAN	30.65	30.65	30.65			
А	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along	g with details such as number of shareholders, outstanding shares held in				
demat/unclaimed suspense accor	unt, voting rights which are frozen etc.				
No. of shareholders No of share held					

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along	g with details such as number of shareholders, outstanding shares held in							
demat/unclaimed suspense acco	demat/unclaimed suspense account, voting rights which are frozen etc.							
No. of shareholdersNo of share held								

Table III- Person in Concert

	Details of the sha	reholders acting as persons in Cor	cert including their Shareholding	
Name of shareholder		Name of PAC	No. of share	Holding %