BALAXI PHARMACEUTICALS LIMITED

Shareholding Pattern

for the quarter ended 30^{th} June, 2022

- 1. Scrip Code/Name of Scrip/Class of Security: BALAXI
- 2. Share Holding Pattern Filed under: 31b
- 3. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid	No	
	up shares?		
2	Whether the Listed Entity has issued any Convertible	No	
	Securities?		
3	Whether the Listed Entity has any shares against	No	
	which depository receipts are issued?		
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or	No	
	otherwise encumbered?		
6	Whether the Listed Entity has issued any differential	No	
	Voting Rights?		
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial	Yes	
	owner?		

Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders	No. of fully paid up equity	No. of Partly paid- up	No. of shares underlyin g	Total nos. shares	Shareho lding as a % of total no. of			ing Rights h of securitie	eld in s	No. of Shares Underl ying	Shareholdi ng, as a % assuming full conversion	Numb Locke shai	er of ed in res	of S plea	imber Shares Iged or	Number of equity shares held
		(III)	shares	equity	Depository	held	(calculate d as			(IX)		Outstand ing	of convertibl			oth	erwise	in
			held	shares	Receipts	(VII) =	per SCRR, 1957)					convertib le	securities (as a	<u>(XI</u>	<u>I)</u>		mbered	dematerializ
			(IV)	held	(VI)	(IV)+(V)+	1957)					securitie s	percentage of	2		(2	XIII)	ed form
				(V)		(VI)	(VIII) As a % of (A+B+C 2)	No of N	Cla s s eg:y	Rights Tot al	Total as a % of (A+B+ C)	(includin g Warrant s) (X)	diluted share capital, (XI)= (VII)+(X) As a % (A+B+C)	- - -	As a % of tota l Sha r es held (b)	No (a)	As a % of total Share s held (b)	(XIV)
A	Promoter & Promoter	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354
В	Group Public	4173	2713646	0	0	2713646	27.14	2713646	0	2713646	27.14	0	27.14		0			2667886
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0		0			0
C2	Shares held by Employee Trusts		0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	4176	10000000	0	0	10000000	100	10000000	0	10000000	100	0	100	5060000	50.6			9954240

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	No. of	No. of	Partly	Nos. of	Total	Sharehol	Number	of Voting	Rights held	d in	No. of	Shareholdi	Number	of	Num	ber of	Number
	of the	shareh	fully	paid-up	shares	nos.	ding %	each		securities		Shares		Locked		Sha		equity
	<u>Shareholders</u>	older	paid	eguity	underlyi	shares	calculate	4	(IX)		Underly	i assuming	shares	T\	pledg		shares
	(I)	(III)	up equity	shares held	Deposito Receipts	held (VII =	d as per SCRR,					Outstand		(XI	I)	encum	rwise	in demateria
			share	(V)	(VI)	IV+V+VI	1957	-				converti securiti		•		encum	III)	ad form
			s held	- (<i>V)</i>	(V1)	10+0+01	1937	No of Vo	otina Ria	htc	Total	(includi		No.	As a	No.	As a	ed form (XIV)
			(IV)	1		,	As a % of	1 100, 11	oung Kig	1115	as a	Warran		(a)	% of	(a)	% of	(2117)
			(17)				As a % of (A+B+C2)	 			% of	(X)	as a	(11)	tota	(11)	tota	
							(VIII)	Class X	Class	Tot al	Total		percentage of diluted					
								Ciuss A	γ	101 111	Votin		of diluted		1		1	
									_		rights		share		Shar		shar	
											rights		capital) (XI) =		es		e s	
													(XI) = (VII) + (X)		held		held	
													% of		<i>(b)</i>		<i>(b)</i>	
													A+B+C2					
1	Indian	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals/Hi	2	286354	0	0	286354	2.86	286354	0	286354	2.86	0	2.86	60036	20.97			286354
	ndu undivided																	
	Family																	
		1	105580	0	0	105580	1.06	105580	0	105580	1.06	0	1.06	0	0			105580
	MAHESHWA						_,,,											
	RI																	
	ASHISH	1	180774	0	0	180774	1.81	180774	0	180774	1.81	0	1.81	60036	33.21			180774
	MAHESHWA																	
	RI																	
b	Central	0	0	0	n	0	0	n	0	0	0	0	0	0	0			n
D		U	U	U	P	U	U	U	U	U	U	ľ	U	U	U			U
	Government/																	
	State																	
	Government(s)																	
С	Financial	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Institutions/																	
1	Banks	1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4000064	71 42			7000000
d	Any Other	1	7000000	U	U	7000000	70	7000000	U	7000000	70	U	70	4999964	71.43			7000000
	(specify)																	
	Bodies	1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000
	Corporate																	
	BALAXI	1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	$71.\overline{43}$			7000000
	OVERSEAS																	
	PRIVATE]												
	LIMITED																	
<u> </u>	LIMITED					l												

	Sub-Total (A)(1)	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44	7	7286354
2	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0	()
a	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	()
b	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0)
С	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(J
d	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(0
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	()
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44	7	7286354

 $\label{thm:continuous} \mbox{Table III - Statement showing shareholding pattern of the Public shareholder}$

	Category & Name of the Shareholders (I)	Nos. of shar eh olde r (III)	No. of fully paid up equity share s held (IV)	Par tly paid -up equi ty shar es held (V)	Nos. of shares underlyi ng Deposito ry Receipts (VI)	Total nos. shares held VII = IV+V+VI	Sha reh ol din g % calc ula te d as per SC RR , 195 7 As a % of (A+ B+C 2) VIII	Number No of Vo	class o		Total as a % of Total Voting rights	No. of Shares Underlyin g Outstandi ng convertibl e securities (including Warrants) (X)	Total shareho ldin g, as a % assumi ng full convers ion of convert ible securiti es (as a percent age of diluted share capital) (XI)	Lo sh	mber of of cked in lares XII) As a % of tota I Shar es held (b)	pledg	ires ged or wise	Number of equity shares held in demateria liz ed form (XIV)
1	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Mutual Funds/	0	0	0	0	0	0	0	0	0	0	0	0		0			0
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0		0			0
С	Alternate Investment Funds	0	0	0	0	0	0	0	0	0	0	0	0		0			0
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0		0			0
e	Foreign Portfolio Investors	2	1900000	0	0	1900000	19	1900000	0	1900000	19	0	19		0			1900000
	ELARA INDIA OPPORTUNITIES FUND LIMITED	1	950000	0	0	950000	9.5	950000	0	950000	9.5		9.5		0			950000
	MARSHAL GLOBAL CAPITAL FUND LIMITED		950000	0	0		9.5	950000		950000	9.5	0	9.5		0			950000
f	Financial Institutions/	1	2632	0	0	2632	0.03	2632	0	2632	0.03	0	0.03		0			0

	Banks															
g	Insurance	0	0	0	0	0	0	0	0	0	0	0	0		0	0
	Companies															
h	Provident Funds/	0	0	0	0	0	0	0	0	0	0	0	0		0	0
	Pension Funds															
i	Any Other	0	0	0	0	0	0	0	0	0	0	0	0		0	0
	(specify)															
	Sub-Total (B)(1)	3	1902632	0	0	1902632	19.03	1902632	0	1902632	19.03	0	19.03	0	0	1900000
2	Central	0	0	0	0	0	0	0	0	0	0	0	0		0	0
	Government/															
	State															
	Government(s)/															
	President of India	0	2	2							2					
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
			664195	0				664195	0	664195	6.64	0	6.64	0	0	621067
		4014	400706	0	0	400706	4.01	400706	0	400706	4.01	0	4.01		0	357578
	shareholders															
	holding nominal															
	share capital up to															
ii	Rs. 2 lakhs. Individual	3	263489	0	0	263489	2.63	263489	0	263489	2.63	0	2.63		0	263489
	shareholders	3	263489	U	U	263489	2.63	263489	U	263489	2.63	U	2.63			263489
	holding nominal															
	share capital in															
	excess of Rs. 2															
	lakhs.															
		1	173465	0	0	173465	1.73	173465	0	173465	1.73	0	1.73		0	173465
	MANTRI	_	170100		C	170100	1.70	170100		175100	1.75		1.75			170100
	NBFCs registered	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
~	with RBI											Ĭ		1		Ĭ
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0	0
d	Overseas	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
	Depositories															-
	(holding DRs)													1		
	(balancing figure)													1		
e		153	146819	0	0	146819	1.47	146819	0	146819	1.47	0	1.47		0	146819
	(specify)													1		
		63	8356	0	0	8356	0.08	8356	0	8356	0.08	0	0.08		0	8356
	Director or	1	4605	0	0	4605	0.05	4605	0	4605	0.05	0	0.05		0	4605
	Director's															
	Relatives															

Shareholding (B)= (B)(1)+(B)(2)+(B)(3															
Total Public		2713646	0	0	2713646	27.14	2713646	0	2713646	27.14	0	27.14	0	0	2667886
Sub-Total (B)(3)	4170	811014	0	0	811014	8.11	811014	0	811014	8.11	0	8.11	0	0	767886
IEPF	1	74806	0	0	74806	0.75	74806	0	74806	0.75	0	0.75		0	74806
Clearing member	11	3070	0	0	3070	0.03	3070	0	3070	0.03	0	0.03		0	3070
Bodies Corporate	21	37257	0	0	37257	0.37	37257	0	37257	0.37	0	0.37		0	37257
Indian (NRI)															
Non-Resident	56	18725	0	0	18725	0.19	18725	0	18725	0.19	0	0.19		0	18725

 $Table\ IV\ \hbox{-}\ Statement\ showing\ shareholding\ pattern\ of\ the\ Non\ Promoter\hbox{-}\ Non\ Public\ shareholder }$

	Category &	PAN	No. of	Nø.	Partly	Nos. of	Total	Şhareho	Num	ber of \	Voting	g Rights	No. of	Total		nber		mber	Number
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ich clas	ss of s	ecurities	Shares	shareholdi		ked in		hares	equity
	Shareholders		older	paid	equity	underlyi	held (VII =	calculat		(1	<i>X</i>)		Underlyin	g, as a %		ares	ple	dged_	<u>şhares</u>
	(1)		(III)	ир	shares	Deposito	(VII =	d as per					Outstandi		(X	II)	oti	ierwis	in
				equit	held (V)	Receipts (VI)	<u>IV+V+</u> <u>VI)</u>	SCRR, 1957					convertibl securities				enci	umbere (III)	demateria
				share s held	(1)	(V1)	<u> </u>	As a %	No o	t Votin	ισ	Total	(includin	conversio	No	As a	No.	As a	(XIV)
				(IV)				Aş a % (A+B+C	Righ	t Votin ts	3	as a	Warrants	of convertibl	110.	%	(N	% of	ed form (XIV) (Not Applicabl e)
				(2)				(VIII)				% of	(X)	securities			(1)	-	Applicabl
								, ,	Cla	Cla	TT	as a % of Total	,	as a		of	0	tota	e)' '
									SS	SS	o	Votin		percentag of diluted		tot	t	1	
									$\overset{ss}{X}$	$\overset{ss}{Y}$	t	8		of diluted		al	an	shar	
											ą	rights		Share canital)			ap		
											l			share capital) (XI)		Sh	pl	e s	
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0			0			0
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Benefit Trust																		
	(under SEBI																		
	Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Promoter- Non																		
	Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	Passport No. in case of a	Nationality	Details of hold direct or indire	ing/exercise of rig	ght of the SBO in the	reporting comp		Date of creation/ acquisition of
		foreign national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	acquisition of significant beneficial interest
1	MINOSHI MAHESHWARI		INDIAN	38.01	38.01	38.01			
A	BALAXI OVERSEAS PRIVATE LIMITED		INDIAN	38.01	38.01	38.01	Yes	Yes	04-May-2017
2	ASHISH MAHESHWARI		INDIAN	38.01	38.01	38.01			
A	BALAXI OVERSEAS PRIVATE LIMITED		INDIAN	38.01	38.01	38.01	Yes	Yes	04-May-2017

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here a	llong with details such as number of shareholders, outstanding shares
held in demat/unclaimed suspense a	account, voting rights which are frozen etc.
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here a	long with details such as number of shareholders, outstanding shares
held in demat/unclaimed suspense a	ccount, voting rights which are frozen etc.
No. of shareholders	No of share held

Table III- Person in Concert

	Details of the sharel	nolders acting as persons in Co	ncert including their Sharehol	ding
Name of shareholder		Name of PAC	No. of share	Holding %