

BALAXI PHARMACEUTICALS LIMITED

Shareholding Pattern

for the quarter ended 30th June, 2022

1. Scrip Code/Name of Scrip/Class of Security: BALAXI
2. Share Holding Pattern Filed under: 31b
3. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	No. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares (V)	Nos. of shares underlying Deposits Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number equity shares in dematerialized form (XIV)									
							No. of Voting Rights	Total as a % of Total Voting rights	Class X			Class Y	Total	No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total shares held (b)							
																			No of Voting Rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)
																			Class X	Class Y	Total				
1	Indian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0								
a	Individuals/Hindu undivided Family	2	286354	0	0	286354	2.86	286354	0	286354	2.86	0	2.86	60036	20.97			286354							
	MINOSHI MAHESHWARI	1	105580	0	0	105580	1.06	105580	0	105580	1.06	0	1.06	0	0			105580							
	ASHISH MAHESHWARI	1	180774	0	0	180774	1.81	180774	0	180774	1.81	0	1.81	60036	33.21			180774							
b	Central Government/ State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0							
c	Financial Institutions/ Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0							
d	Any Other (specify)	1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000							
	Bodies Corporate	1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000							
	BALAXI OVERSEAS PRIVATE LIMITED	1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000							

	Sub-Total (A)(1)	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354
2	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					Total as a % of Total Voting rights	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
								Class X	Class Y	Total							
1	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Alternate Investment Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Foreign Portfolio Investors	2	1900000	0	0	1900000	19	1900000	0	1900000	19	0	19	0	0	0	1900000
	ELARA INDIA OPPORTUNITIES FUND LIMITED	1	950000	0	0	950000	9.5	950000	0	950000	9.5	0	9.5	0	0	0	950000
	MARSHAL GLOBAL CAPITAL FUND LIMITED	1	950000	0	0	950000	9.5	950000	0	950000	9.5	0	9.5	0	0	0	950000
f	Financial Institutions/	1	2632	0	0	2632	0.03	2632	0	2632	0.03	0	0.03	0	0	0	0

	Banks																	
g	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)	3	1902632	0	0	1902632	19.03	1902632	0	1902632	19.03	0	19.03	0	0	0	0	1900000
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -	4017	664195	0	0	664195	6.64	664195	0	664195	6.64	0	6.64	0	0	0	0	621067
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	4014	400706	0	0	400706	4.01	400706	0	400706	4.01	0	4.01	0	0	0	0	357578
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	263489	0	0	263489	2.63	263489	0	263489	2.63	0	2.63	0	0	0	0	263489
	AMOL ANAND MANTRI	1	173465	0	0	173465	1.73	173465	0	173465	1.73	0	1.73	0	0	0	0	173465
b	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	153	146819	0	0	146819	1.47	146819	0	146819	1.47	0	1.47	0	0	0	0	146819
	HUF	63	8356	0	0	8356	0.08	8356	0	8356	0.08	0	0.08	0	0	0	0	8356
	Director or Director's Relatives	1	4605	0	0	4605	0.05	4605	0	4605	0.05	0	0.05	0	0	0	0	4605

Non-Resident Indian (NRI)	56	18725	0	0	18725	0.19	18725	0	18725	0.19	0	0.19	0	0	18725
Bodies Corporate	21	37257	0	0	37257	0.37	37257	0	37257	0.37	0	0.37	0	37257	
Clearing member	11	3070	0	0	3070	0.03	3070	0	3070	0.03	0	0.03	0	3070	
IEPF	1	74806	0	0	74806	0.75	74806	0	74806	0.75	0	0.75	0	74806	
Sub-Total (B)(3)	4170	811014	0	0	811014	8.11	811014	0	811014	8.11	0	8.11	0	767886	
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	4173	2713646	0	0	2713646	27.14	2713646	0	2713646	27.14	0	27.14	0	2667886	

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation/ acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	MINOSHI MAHESHWARI		INDIAN	38.01	38.01	38.01			
A	BALAXI OVERSEAS PRIVATE LIMITED		INDIAN	38.01	38.01	38.01	Yes	Yes	04-May-2017
2	ASHISH MAHESHWARI		INDIAN	38.01	38.01	38.01			
A	BALAXI OVERSEAS PRIVATE LIMITED		INDIAN	38.01	38.01	38.01	Yes	Yes	04-May-2017

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %