Shareholding Pattern for the quarter ended 31st March, 2022

- 1. Name of Listed Entity: BALAXI PHARMACEUTICALS LIMITED
- 2. Scrip Code/Name of Scrip/Class of Security: BALAXI
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 31-Mar-2022
- 5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly	No	
	paid up shares?		
2	Whether the Listed Entity has issued any	No	
	Convertible Securities?		
3	Whether the Listed Entity has any shares against	No	
	which depository receipts are issued?		
4	Whether the Listed Entity has any shares in	Yes	Promoter and Promoter Group
	locked-in?		
5	Whether any shares held by promoters are	No	
	pledge or otherwise encumbered?		
6	Whether the Listed Entity has issued any	No	
	differential Voting Rights?		
7	Whether the Listed Entity has issued any	No	
	Warrants?		
8	Whether the listed entity has any significant	Yes	
	beneficial owner?		

 $\label{thm:continuous} Table\ I\ \hbox{-} Summary\ Statement\ holding\ of\ specified\ securities}$

Category (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholdi ng as a % of total no. (calculated as per SCRR, 1957) (VIII)	ead	ch class	ing Rights I of securitie (IX)	25	No. of Share s Outstand converti securiti (includi	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share	Numb Locke sha: (XI	ed in res	SI plea othe encum	nber of hares dged or erwise nbered XIII)	Number of equity shares held in dematerializ ed form
							As a % of (A+B+C2)	No of V Clas s eg: X	Coting I CI as s eg: y	Tot al	Total as a % of (A+B+ C)	Warran (X)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shar es held (b)	No. (a)	As a % of total Share s held (b)	<u>(XIV)</u>
A	Promoter & Promoter Group	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354
В		3985	2713646	0	0	2713646	27.14	2713646	0	2713646	27.14	0	27.14		0			2665286
С	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0		0			0
C2	Shares held by Employee Trusts		0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	3988	10000000	0	0	10000000	100	10000000	0	1000000 0	100	0	100	5060000	50.6			9951640

 $\label{thm:conditional} \mbox{Table II - Statement showing shareholding pattern of the Promoter and Promoter Group}$

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Number	of Voting R	ights held i	11	No. of	Shareholding	Number	of	Numb	er of	Number
	of the	(II)	shareh	fully	paid-up	shares	nos.	ding %		ı class of se		11	Shares	as a %	Locked		Shar		equity
	Shareholders	(11)	older	paid	equity	underlying	shares	calculate	cuci		X)		Underlyin		shares		pledge		shares
	(I)		(III)	ир	shares	Depository	held	d as ver		,	,		Outstandin		(XI		othera	vise	in
				equity	held	Receipts	(VII =	SCRR,						conversion			encumb		demateri
				share	(V)	(VI)	IV+V+V	1957					securities	of				III)	form
				s held			I)	4 0/ 6	No of Vo	oting Right	s	Total	(including		No.	As a	No.	As a	(XIV)
				(IV)				As a % of (A+B+C2)				as a % of	Warrants (X)	securities ((a)	% of total	(a)	% of total	
								(VIII)	01	01 1/	I m . 1	Total	(A)	percentage		Shar		share s	
								(111)	Class X	Class Y	Tot al	Voting		of diluted		es		held (b)	
									Λ			rights		share		held			
														capital) (XI) =		(b)			
														(XI) = (VII) + (X)					
														% of					
														A+B+C2					
	r 1.		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals/Hin		2	286354	0	0	286354	2.86	286354	0	286354	2.86	0	2.86	60036	20.97			286354
	du undivided																		
	Family																		
	MINOSHI	ADGPM5813J	1	105580	0	0	105580	1.06	105580	0	105580	1.06	0	1.06	0	0			105580
	MAHESHWARI																		
	ASHISH	ADKPM6911H	1	180774	0	0	180774	1.81	180774	0	180774	1.81	0	1.81	60036	33.21			180774
	MAHESHWARI																		
b	Central		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Ĩ	Government/																		
	State																		
	Government(s)																		
C	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Institutions/																		
	Banks																		
d	Any Other		1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000
	(specify)																		
	Bodies Corporate		1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000
	BALAXI	AAECA0526G	1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43			7000000
	OVERSEAS			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,										-					
	PRIVATE																		
	LIMITED																		
	Sub-Total (A)(1)		3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	n	0	0			0
2	, and the second		0	0	0	0	0	0	0	0	0	0	0	ν h	0	0			0
a	Individuals (Non-Resident		U	V	U	U	U	U	U	U	U	U	U	U	U	U			U
	(1 NOII-INESIGEIII						<u> </u>		<u> </u>			<u> </u>							

	Individuals/ Foreign Individuals)																
b	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
С	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44		7286354

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No s. of sha reh old	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Sharehol ding % calculate d as per SCRR, 1957	Numb e	er of Voti ach class (I	ng Rights h of securities IX)	eld in s	No. of Shares Underlying Outstanding convertible securities	Total shareholdin g, as a % assuming full conversion	Loci sh	iber of ked in ares (II)	Shares or oth encur	aber of pledged herwise nbered III)	Number of equity shares held in demateria liz ed
			er (III)	s held (IV)		(13)		As a % of (A+B+C2) VIII	No of V	Class	ghts Tot al	Tota l as a % of Tota	(including Warrants) (X)	of convertible securities (as a	No. (a)	As a % of total Shar es	No. (No t ap plica ble	As a % of total share s held	form (XIV)
									Λ	1		l Voti ng		percentage of diluted share capital)		held (b)) (a)	(Not applic able)	
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0		****	0
a	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0		0			0
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
С	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0		0			0
e	Foreign Portfolio Investors		3	1928345	0	0	1928345	19.28	1928345	0	1928345	19.28	0	19.28		0			1928345
	ELARA INDIA OPPORTUNITIES FUND LIMITED	AABCE63 07N	1	950000	0	0	950000	9.5	950000	0	950000	9.5	0	9.5		0			950000
	MARSHAL GLOBAL CAPITAL FUND LIMITED	AAFCM57 37N	1	950000	0	0	950000	9.5	950000	0	950000	9.5	0	9.5		0			950000
f	Financial Institutions/ Banks		1	2632	0	0	2632	0.03	2632	0	2632	0.03	0	0.03		0			0
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0		0			0
1_	Provident		0	0	0	0	0	0	0	0	0	n	0	0		0			0
h	Funds/		U	U	U	U	U	U	U	U	U	U	U	U		U			U
	Pension Funds																		
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Sub-Total (B)(1)		4	1930977	0	0	1930977	19.31	1930977	0	1930977	19.31	0	19.31	0	0			1928345
2	Central Government/ State		0	0	0	0	0	0	0	0	0	0	0	0		0			0

	Government(s)/																
	President of India																
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		3817	631058	0	0	631058	6.31	631058	0	631058	6.31	0	6.31	0	0	585330
i	Individual shareholders		3814	367569	0	0	367569	3.68	367569	0	367569	3.68	0	3.68		0	321841
	holding nominal share capital up to																
	Rs. 2 lakhs.																
ii	Individual		3	263489	0	0	263489	2.63	263489	0	263489	2.63	0	2.63		0	263489
	shareholders																
	holding nominal share capital in																
	excess of Rs. 2																
	lakhs.																
	AMOL ANAND	AKTPM51	1	173465	0	0	173465	1.73	173465	0	173465	1.73	0	1.73		0	173465
	MANTRI	03F															
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0		0	0
С	Employee		0	0	0	0	0	0	0	0	0	0	0	0		0	0
	Trusts																
d	Overseas		0	0	0	0	0	0	0	0	0	0	0	0		0	0
	Depositories																
	(holding DRs)																
	(balancing figure)		4 / 4	4=4/44	2		4=4 <44	4 50	4=4/44	0	4 = 4 < 4 4	1 50		1 = 2			45474
е	Any Other (specify)		164	151611	0	0	151611	1.52	151611	0	151611	1.52	O	1.52		0	151611
-	HUF		60	7719	0	n	7719	0.08	7719	0	7719	0.08	0	0.08		0	7719
	Director or		1	4605	0	n	4605	0.05	4605	0	4605	0.05	0	0.05		0	4605
	Director's Relatives		_	1000	O		1000	0.00	1000		1000	0.00		0.00			1000
	Non-Resident		61	21701	0	0	21701	0.22	21701	0	21701	0.22	0	0.22		0	21701
	Indian (NRI)																
	Bodies Corporate		23	39229	0	0	39229	0.39	39229	0	39229	0.39	0	0.39		0	39229
<u> </u>	Clearing member		18	3551	0	0	3551	0.04	3551	0	3551	0.04	0	0.04		0	3551
	IEPF		1	74806	0	0	74806	0.75	74806	0	74806	0.75	0	0.75		0	74806
	Sub-Total (B)(3)			102007	0	0	782669	7.83	782669	0		7.83	0	7.83	0	0	736941
	Total Public Shareholding (B)=		3985	2713646	U	U	2713646	27.14	2713646	U	2713646	27.14	U	27.14	U	U	2665286
	Shareholding (B)= $(B)(1)+(B)(2)+(B)(3)$										1						
	$(D)(1) \cdot (D)(2) \cdot (D)(3)$		1	l					l								

 $\label{thm:continuous} Table\ IV\ \hbox{-}\ Statement\ showing\ shareholding\ pattern\ of\ the\ Non\ Promoter-\ Non\ Public\ shareholder$

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	r of Votin	g Rights	s held in	No. of	Total	Num	ber of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class of		ies	Shares	shareholdin	Lock			iares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(1	(X)		Underlying	g, as a %	sha			ged or	shares held
	(I)		(III)	ир	shares	Depository	(VII =	d as per					Outstanding	assuming	(X	(II)		erwise	in
				equity	held	Receipts	IV+V+VI)	SCRR,					convertible	full				nbered	dematerializ
				share	(V)	(VI)		1957					securities	conversion				XIII)	ed form
				s held				As a % of	No of \	Voting Rig	ghts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)				a % of	Warrants)	convertible		% of	(No	% of	(Not
								(VIII)				Total	(X)	securities (total	t	total	Applicable)
									Class	Class	Tot	Voting		as a		Shar	ар	share	
									X	Y	al	rights		percentage		es	pli	s held	
														of diluted share		held	ca	(Not	
														capital)			ble	applic	
														(XI))	able)	
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0	(211)		0			0
1			U	U	O		ľ		U		U					U			0
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Benefit Trust																		
	(under SEBI																		
	`																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Promoter- Non																		
	Public				ĺ														
					ĺ														
	Shareholding				ĺ														
	(C)=				ĺ														
	(C)(1)+(C)(2)				ĺ														
	(- / ())))))))))))))		1	1	I	<u> </u>	1		l	l	1	1	<u> </u>	<u> </u>	l		l .	l .	

 $\label{thm:continuous} Table\ V\ \hbox{-}\ Statement\ showing\ shareholding\ pattern\ of\ the\ Significant\ Beneficiary\ Owner\ (SBO)$

	Name	PAN	Passport No. in	Nationality	Details of hold indirect*	ing/ exercise of right	t of the SBO in the repo	rting company, w	hether direct or	Date of creation / acquisition of
			case of a foreign national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant beneficial interest
1	MINOSHI MAHESHWARI	ADGPM5813J		INDIAN	38.01	38.01	38.01			
	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	38.01	38.01	38.01	Yes	Yes	04-May-2017
2	ASHISH MAHESHWARI	ADKPM6911H		INDIAN	38.01	38.01	38.01			
	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	38.01	38.01	38.01	Yes	Yes	04-May-2017

Table II- Unclaim Details

Details of Shares which remain unclaimed may be give	ven here along with details such as number of shareholders,
outstanding shares held in demat/unclaimed s	uspense account, voting rights which are frozen etc.
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be give	ven here along with details such as number of shareholders,
outstanding shares held in demat/unclaimed s	suspense account, voting rights which are frozen etc.
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareho	lders acting as persons in Co	oncert including their Share	holding						
Name of shareholder Name of PAC No. of share Holding %									