

## Shareholding Pattern for the quarter ended 31<sup>st</sup> March, 2022

1. Name of Listed Entity: BALAXI PHARMACEUTICALS LIMITED
2. Scrip Code/Name of Scrip/Class of Security: BALAXI
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Mar-2022
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity	No. of Partly paid-up equity	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities (IX)			No. of Shares	Shareholding, as a % assuming full conversion	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
			held	held	Receipts (VI)	(VII) = (IV)+(V)+(VI)	(VIII)	No of Voting Rights			Warrant (X)	of convertible securities ( as a percentage of diluted share capital)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
			(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	Total as a % of (A+B+C)										
			As a % of (A+B+C2)			No of Voting Rights			Total as a % of (A+B+C)	Warrant (X)	(XI) = (VII)+(X)	As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
			Class	eg: X	Clas s eg: y	Tot al												
A	Promoter & Promoter Group	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354
B	Public	3985	2713646	0	0	2713646	27.14	2713646	0	2713646	27.14	0	27.14		0			2665286
C	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0		0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	3988	10000000	0	0	10000000	100	10000000	0	10000000	100	0	100	5060000	50.6			9951640

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of shares held older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (X)	Shareholding, as a % assuming full conversion of convertible securities (including Warrants) (X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number equity shares in dematerial form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1	Indian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals/Hindu undivided Family	2	286354	0	0	286354	2.86	286354	0	286354	2.86	0	2.86	60036	20.97			286354
	MINOSHI MAHESHWARI	ADGPM5813J	1	105580	0	0	105580	1.06	105580	0	105580	1.06	0	1.06	0	0		105580
	ASHISH MAHESHWARI	ADKPM6911H	1	180774	0	0	180774	1.81	180774	0	180774	1.81	0	1.81	60036	33.21		180774
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Any Other (specify)		1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43		7000000
	Bodies Corporate		1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43		7000000
	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G	1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43		7000000
	Sub-Total (A)(1)		3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44		7286354
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals (Non-Resident)		0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Individuals/ Foreign Individuals)																		
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354



	Government(s)/ President of India																		
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0				0
a	Individuals -	3817	631058	0	0	631058	6.31	631058	0	631058	6.31	0	6.31	0	0				585330
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	3814	367569	0	0	367569	3.68	367569	0	367569	3.68	0	3.68		0				321841
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	263489	0	0	263489	2.63	263489	0	263489	2.63	0	2.63		0				263489
	AMOL ANAND MANTRI	AKTPM51 03F	1	173465	0	0	173465	1.73	173465	0	173465	1.73	0	1.73		0			173465
b	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0		0				0
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0				0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0		0				0
e	Any Other (specify)	164	151611	0	0	151611	1.52	151611	0	151611	1.52	0	1.52		0				151611
	HUF	60	7719	0	0	7719	0.08	7719	0	7719	0.08	0	0.08		0				7719
	Director or Director's Relatives	1	4605	0	0	4605	0.05	4605	0	4605	0.05	0	0.05		0				4605
	Non-Resident Indian (NRI)	61	21701	0	0	21701	0.22	21701	0	21701	0.22	0	0.22		0				21701
	Bodies Corporate	23	39229	0	0	39229	0.39	39229	0	39229	0.39	0	0.39		0				39229
	Clearing member	18	3551	0	0	3551	0.04	3551	0	3551	0.04	0	0.04		0				3551
	IEPF	1	74806	0	0	74806	0.75	74806	0	74806	0.75	0	0.75		0				74806
	Sub-Total (B)(3)	3981	782669	0	0	782669	7.83	782669	0	782669	7.83	0	7.83	0	0				736941
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	3985	2713646	0	0	2713646	27.14	2713646	0	2713646	27.14	0	27.14	0	0				2665286



Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	MINOSHI MAHESHWARI	ADGPM5813J		INDIAN	38.01	38.01	38.01			
A	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	38.01	38.01	38.01	Yes	Yes	04-May-2017
2	ASHISH MAHESHWARI	ADKPM6911H		INDIAN	38.01	38.01	38.01			
A	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	38.01	38.01	38.01	Yes	Yes	04-May-2017

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>