

General information about company	
Scrip code	000000
NSE Symbol	BALAXI
MSEI Symbol	NOTLISTED
ISIN	INE618N01014
Name of the company	BALAXI PHARMACEUTICALS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	Yes	No	Yes	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	No	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	19.18
As on the end of previous 1st quarter	100	19.13
As on the end of previous 2nd quarter	100	19.15
As on the end of previous 3rd quarter	100	19.46
As on the end of previous 4th quarter	100	19.61

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	3	7286354			7286354	72.69	7286354		7286354	72.69
(B)	Public	4727	2738146			2738146	27.31	2738146		2738146	27.31
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	4730	10024500			10024500	100	10024500		10024500	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				65.68					7286354			
(B)	Public		1070000	1070000	34.32	76516	2.79			2696686	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total		1070000	1070000	100	76516	0.76			9983040	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	286354			286354	2.86	286354		286354	2.86
(d)	Any Other (specify)	1	7000000			7000000	69.83	7000000		7000000	69.83
Sub-Total (A)(1)		3	7286354			7286354	72.69	7286354		7286354	72.69
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		3	7286354			7286354	72.69	7286354		7286354	72.69
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(d)	Banks	1	2632			2632	0.03	2632		2632	0.03
Sub-Total (B)(1)		1	2632			2632	0.03	2632		2632	0.03
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	2	950010			950010	9.48	950010		950010	9.48
(e)	Foreign Portfolio Investors Category II	1	950000			950000	9.48	950000		950000	9.48
Sub-Total (B)(2)		3	1900010			1900010	18.95	1900010		1900010	18.95
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(c)	Key Managerial Personnel	1	173465			173465	1.73	173465		173465	1.73
(f)	Investor Education and Protection Fund (IEPF)	1	77716			77716	0.78	77716		77716	0.78
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	4545	430783			430783	4.3	430783		430783	4.3
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	1	81092			81092	0.81	81092		81092	0.81
(i)	Non Resident Indians (NRIs)	77	27551			27551	0.27	27551		27551	0.27
(l)	Bodies Corporate	22	21023			21023	0.21	21023		21023	0.21

(m)	Any Other (specify)	76	23874			23874	0.24	23874		23874	0.24
Sub-Total (B)(4)		4723	835504			835504	8.33	835504		835504	8.33
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		4727	2738146			2738146	27.31	2738146		2738146	27.31
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		4730	10024500			10024500	100	10024500		10024500	100
Total (A+B+C)		4730	10024500			10024500	100	10024500		10024500	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares			
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian												
(a)				2.58					286354				
(d)				63.09					7000000				
Sub-Total (A) (1)				65.68					7286354				
(2)	Foreign												
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				65.68					7286354				
B	Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions (Domestic)												
(d)		0	0	0.02	0	0			0	0	0	0	
Sub-Total (B) (1)		0	0	0.02	0	0			0	0	0	0	
(2)	Institutions (Foreign)												
(d)		0	0	8.56	0	0			950010	0	0	0	
(e)		0	0	8.56	0	0			950000	0	0	0	
Sub-Total (B) (2)		0	0	17.13	0	0			1900010	0	0	0	
(3)	Central Government / State Government(s)												
(4)	Non-institutions												
(c)		0	0	1.56	0	0			173465	0	0	0	
(f)		0	0	0.7	0	0			77716	0	0	0	
(g)		437500	437500	7.83	59811	13.88			391955	0	0	0	
(h)		0	0	0.73	0	0			81092	0	0	0	
(i)		30500	30500	0.52	6000	21.78			27551	0	0	0	
(l)		389000	389000	3.7	6100	29.02			21023	0	0	0	
(m)		213000	213000	2.14	4605	19.29			23874	0	0	0	
Sub-Total (B) (4)		1070000	1070000	17.18	76516	9.16			796676	0	0	0	

Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		1070000	1070000	34.32	76516	2.79			2696686	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)		1070000	1070000	100	76516	0.76			9983040			
Total (A+B+C)		1070000	1070000	100	76516	0.76			9983040			

Individuals/Hindu undivided Family			
Serial No.	1	2	
Name of the Shareholders (I)	MINOSHI MAHESHWARI	ASHISH MAHESHWARI	Click here to go back
PAN (II)	ADGPM5813J	ADKPM6911H	Total
No. of fully paid up equity shares held (IV)	105580	180774	286354
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	105580	180774	286354
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.05	1.8	2.86
Number of Voting Rights held in each class of securities (IX)			
Class eg:X	105580	180774	286354
Class eg:y			
Total	105580	180774	286354
Total as a % of Total Voting rights	1.05	1.8	2.86
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.95	1.63	2.58

Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	105580	180774	286354
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	BALAXI OVERSEAS PRIVATE LIMITED	
PAN (II)	AAECA0526G	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	7000000	7000000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7000000	7000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	69.83	69.83
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	7000000	7000000
Class eg: y		
Total	7000000	7000000
Total as a % of Total Voting rights	69.83	69.83
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a	63.09	63.09

percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	7000000	7000000
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Foreign Portfolio Investors Category I		
Serial No.	1	
Name of the Shareholders (I)	ELARA INDIA OPPORTUNITIES FUND LIMITED	Click here to go back
PAN (II)	AABCE6307N	Total
No. of fully paid up equity shares held (IV)	950000	950000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	950000	950000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.48	9.48
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	950000	950000
Class eg:y		
Total	950000	950000
Total as a % of Total Voting rights	9.48	9.48
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.56	8.56

Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	950000	950000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Key Managerial Personnel		
Serial No.	1	
Name of the Shareholders (I)	AMOL ANAND MANTRI	Click here to go back
PAN (II)	AKTPM5103F	Total
No. of fully paid up equity shares held (IV)	173465	173465
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	173465	173465
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.73	1.73
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	173465	173465
Class eg:y		
Total	173465	173465
Total as a % of Total Voting rights	1.73	1.73
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.56	1.56

Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	173465	173465
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Foreign Portfolio Category II		
Serial No.	1	
Name of the Shareholders (I)	MGC FUND LIMITED	Click here to go back
PAN (II)	AAFCM5737N	Total
No. of fully paid up equity shares held (IV)	950000	950000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	950000	950000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.48	9.48
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	950000	950000
Class eg:y		
Total	950000	950000
Total as a % of Total Voting rights	9.48	9.48
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	8.56	8.56
Number of Locked in shares (XII)		

No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	950000	950000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Any Other (specify)				
Serial No.	1	2	3	
Category	Director or Director's Relatives	Clearing Members	HUF	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				Click here to go back
PAN (II)				Total
No. of the Shareholders (I)	1	4	71	76
No. of fully paid up equity shares held (IV)	4605	262	19007	23874
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4605	262	19007	23874
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.05	0	0.19	0.24
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	4605	262	19007	23874
Class eg:y				
Total	4605	262	19007	23874
Total as a % of Total Voting rights	0.05	0	0.19	0.24
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)	210000	0	3000	213000
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	210000	0	3000	213000
Shareholding , as a % assuming full conversion of	1.93	0	0.2	2.13

convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)				
Number of Locked in shares (XII)				
No. (a)	4605	0	0	4605
As a % of total Shares held (b)	100	0	0	19.29
Number of equity shares held in dematerialized form (XIV)	4605	262	19007	23874
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

significant beneficial owners																
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	MINOSHI MAHESHWARI	ADGPM5813J		India		BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		India		50	50	50	Yes	Yes	04-05-2017
Total:											50	50	50			
2	ASHISH MAHESHWARI	ADKPM6911H		India		BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		India		50	50	50	Yes	Yes	04-05-2017
Total:											50	50	50			