## 1. Name of Listed Entity: BALAXI PHARMACEUTICALS LIMITED

- 2. Scrip Code/Name of Scrip/Class of Security: BALAXI
- 3. Share Holding Pattern Filed under: 31b
- 1. Share Holding Pattern as on : 30-Jun-2021
- 5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding	g of specified securities
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Categ o ry (I)	Category of sharehol der (II)	Nos. of shar (III)	No. of fully paid up shares held (IV)	No. of Partly equity shares held (V)	No. of shares underlyi Depositor Receipts (VI)		Shareholdi ng as a % of total no. (calculated per SCRR, 1957) (VIII)	Ni	imber o Rights each cl (D	of Vot held lass c K)	ting in of	No. of Shares Underlyi Outstandin convertibl securities (including	securities ( as percentage		f ked		f res rwise mbere	Number of equity shares in demateriali ed form
							As a % of (A+B+C2)		o of <u>Voting</u> Cla s s eg: y	To t al	Tot al as a (A+B + C)	Warrants) (X)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No	As a % of tot al Sh ar es hel d (b)	0. (a	As a % of total Shar e s held (b)	<u>(XIV)</u>
А	Promoter & Promoter Group	3	7286354	0	0	7286354	72.86	72863 54		7286 354	72.86	0	72.86	5060000	69.44		7	7286354
В	Public	3296	2713646	0	0	2713646	27.14	27136 46		2713 646	27.14	0	27.14		0		2	2662036
C	Non Promoter-	0	0	0	0	0	0	0	0	0	0	0 0	0	0	0		0	)

	Non Public															
C1	Shares	0	0	0	0	0		0	0	0	0	0	0		0	0
	underlying DRs															
C2	Shares held by	0	0	0	0	0	0	0	0	0	0	0	0		0	0
	Employee Trusts	5														
	Total	3299	1000000	0	0	10000000	100	10000	0	1000	100	0	100	5060000	50.6	9948390
								000		0000						

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category &	PAN	No. of	No. of	Partly	Nos. of	Total	Shareho	Num	ber of V	oting	Rights	No. of	Sharehold	Nun	nber of	Numl	ber of	Number of
	of the	(11)	shareh	fully	paid-up	shares	nos.	dina %	ea	ach clas	ss of s	securities	Shares	g , as a %		ked in			equity
	Shareholders (I)	5	older (III)	paid up	equity	underlyin Depositor	shares held	calculat d as per		(1	<b>X</b> )		Underlyin Outstandin		sn O	ares (II)	pledg other	lea rwise	shares in
	(7)		(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	equity	held	Receipts	(VII =	SCRR,					convertibl	conversio		,	encun	nbere	demateriali
				share	(V)	(VI) <sup>*</sup>	ĮV+V+VI	1957	1				securities	of			(XII	1)	ed form
				s held (IV)			)	Aş a %	No of Right	Voting	9	Total	(including Warrants)	convertibl securities	N 0.	As a	N A 0. 4	Ása	(XIV)
				(14)				(A+B+C2	l	.5		as a % of	(X)	as a	(a	%	12	% of	
								(VIII)	Clas	Clas	То	Total		percentag of diluted	)	of	) (	total	
									s X	s Y	t	Votin		of diluted share	_	tot al		shar es	
									X	Ŷ	al	g rights		capital)		Sha		e s held	
														(XI) = (VII)+(X) as		sna r		(b)	
														(VII)+(X) as % of		es		(0)	
														A+B+C2	_	hel			
																d			
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
а	Individuals/Hindu		2	286354	0	0	286354	2.86	286354		2863	2.86	0	2.86	60036	20.97			286354
	undivided Family	1 D C D	1	105500	0	0	105500	1.00	105500		54	1.07	0	1.07	0	0			105500
	MINOSHI	ADGP	1	105580	0	0	105580	1.06	105580		1055	1.06	0	1.06	0	0			105580
	MAHESHWARI ASHISH	M5813J	1	100774	0	0	100774	1.01	100774		80	1.01	0	1.01	(002(	22.21			100774
		ADKP M6911	1	180774	0	0	180774	1.81	180774	0	1807	1.81	0	1.81	60036	33.21			180774
	MAHESHWARI	H									74								
h	Central	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
U	Government/ State		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Government(s)																		
с	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
č	Institutions/ Banks		0	v	•	0	0	°	Ŭ	0	Ŭ	°	0	0	Ũ	0			0
d	Any Other		1	7000000	0	0	7000000	70	700000	0	7000	70	0	70	49999	71.43			7000000
	(specify)								0		000				64				
	Bodies Corporate		1	7000000	0	0	7000000	70	700000	0	7000	70	0	70	49999	71.43			7000000
									0		000				64				
	BALAXI	AAEC	1	7000000	0	0	7000000	70	700000	0	7000	70	0	70	49999	71.43			7000000
	OVERSEAS	A0526							0		000				64				
	PRIVATE	G																	
	LIMITED																		
	Sub-Total (A)(1)		3	7286354	0	0	7286354	72.86	728635		7286	72.86	0	72.86	50600	69.44			7286354
	<b>F</b> :		0	0	0	0	0	0	4	0	354	0	0	0	00	0	$\vdash$		0
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
а	Individuals (Non-		U	U	0	U	0	0	0	U	U	U	U	U	0	U			0
	Resident Individuals/																		
	Foreign																		
	Individuals)																		
h	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
υ	Government		v	v	v	v	v	v	V	v	V	v	v	v	v	v			v

с	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(	)
d	Foreign Portfolio	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(	)
	Investor																
e	Any Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(	)
	(specify)																
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(	)
	Total Shareholding	3	7286354	0	0	7286354	72.86	728635	0	7286	72.86	0	72.86	50600	69.44	( )	7286354
	of Promoter and							4		354				00			
	Promoter Group																
	(A)=																
	(A)(1)+(A)(2)																

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PA N (II)	Nos. of share h older (III)	No. of fully paid up equit y	Partly paid- up equit y share s	Nos. of shares underlyi ng Deposito ry Receipt	Total nos. share s held <u>VII =</u> <u>IV+V+V</u> I	Shareh ol ding % calcula te d as per SCRR,	No o Righ	secu (l. f Voting	rities X) I	Total ass of ass of as a % of	No. of Shares Underlyi ng Outstandi ng convertib	Total sharehol din g , as a % assumin g full conversi	Loc Loc in sha	nber of ked n ares (II) As a % of	Sh ple	mber of ares dged or As a % of total	Number of equity shares held in demateria liz ed form
				shar e s held (IV)	held (V)	s (VI)		1957 As a % of (A+B+C 2) VIII	Clas s X	Clas s Y	To t al	Total Votin g rights	le securitie s (includin α	on of converti ble securitie s (as a	(a )	tot al Sha r	ap pli ca bl	shar e s held (Not	(XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0		0			0
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
с	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0		0			0
e	Foreign Portfolio Investors		3	1945000	0	0	1945000	19.45	194500 0	~	1945 000	19.45	0	19.45		0			1945000
		AABCE 6307N	1	950000	0	0	950000	9.5	950000		9500 00	9.5	0	9.5		0			950000
		AAFC M5737 N	1	950000	0	0	950000	9.5	950000	0	9500 00	9.5	0	9.5		0			950000
f	Financial Institutions/ Banks		1	2632	0	0	2632	0.03	2632	0	2632	0.03	0	0.03		0			0
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0		0			0
h	Provident Funds/		0	0	0	0	0	0	0	0	0	0	0	0		0			0

Pension Funds															
Any Other	0	0	0	0	0	0	0	0	0	0	0	0		0	0
(specify)	Ŭ	Ũ	Ŭ	Ŭ	o	v	v	Ŭ	Ŭ	0	0	0		°	ő
Sub-Total (B)(1)	4	1947	6320	0	1947632	19.48	194763	0	1947	19.48	0	19.48	0	0	1945000
	l l	1917	0.5.2.0	Ŭ	1917032	17.10	2	Ŭ	632	19.10	0	19.10	Ū	°	19 18 000
2 Central	0	0	0	0	0	0	0	0	0	0	0	0		0	0
Government/ State	0	U U	Ŭ	U U	°	°	°	Ŭ	Ŭ	0	0	0		°	Ū
Government(s)/															
President of India															
Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Individuals -	21	.81 6292	12 0	0	629212	6.29	629212	0	6292	6 20	0	6.29	0	0	580234
individuais -	51	.01 0292	12 0	0	029212	0.29	029212	0	12	0.29	0	0.29	0	0	560254
Individual	21	.78 3721	20 0	0	372138	3.72	372138	0	3721	2 72	0	3.72		0	323160
shareholders	51	.76 5721.	50 U	0	572156	5.72	572158	0		5.72	0	5.72		0	525100
									38						
holding nominal															
share capital up to Rs. 2 lakhs.															
i Individual		2570	74 0	0	257074	2.57	257074	0	2570	0.57	0	2.57		0	257074
shareholders	3	2370	/4 0	0	237074	2.37	23/0/4	0		2.37	0	2.37		0	257074
									74						
holding nominal															
share capital in															
excess of Rs. 2															
lakhs.	A LATID 1	1724	(5.0	0	172465	1.72	172465	0	1724	1.72	0	1.72		0	1724(5
AMOL ANAND	AKTP 1	1734	65 0	0	173465	1.73	173465	0	1734	1./3	0	1.73		0	173465
MANTRI	M5103								65						
	F	0	0	0	0	0	0	0	0	0	0	0		0	0
NBFCs registered	0	0	0	0	0	0	0	0	0	0	0	0		0	0
with RBI	0	0		0	0	0	0	0	0	0	0	0		0	0
Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	-	0	0
l Overseas	0	0	0	0	0	0	0	0	0	0	0	0		0	0
Depositories															
(holding DRs)															
(balancing figure)		1 12 (0)			12 (0.02	1.05	12 (0.02	0	12.00	1.05	2	1.05			10.000
Any Other	11	1 1368	02 0	0	136802	1.37	136802	0	1368	1.37	0	1.37		0	136802
(specify)			_					-	02		-		_		
Non-Resident	61	1626	5 0	0	16265	0.16	16265	0	1626	0.16	0	0.16		0	16265
Indian (NRI)									5						
Bodies Corporate	22	2 38604	4 0	0	38604	0.39	38604	0	3860	0.39	0	0.39		0	38604
					<b>a</b>	0.05			4		-				
Clearing member	26		0	0	2722	0.03	2722	0	2722		0	0.03		0	2722
Director or	1	4605	0	0	4605	0.05	4605	0	4605	0.05	0	0.05		0	4605
Director's															
Relatives															
IEPF	1	7460	6 0	0	74606	0.75	74606	0	7460 6	0.75	0	0.75		0	74606
Sub-Total (B)(3)	27	292 7660	14 0	0	766014	7.66	766014	0	7660	7.66	0	7.66	0	0	717036
540 1041(1)(3)	52	/000	. r	ř	,00014	1.00	,00014	, s	14	,	5	,	Ŭ	Ŭ	/1/050
		1	1		1										

Shareholding (B)=				6	646				
(B)(1)+(B)(2)+(B)									
(3)									

	Category &	PAN		No. of		Nos. of	Total	Shareho	Num	ber of V	oting	Rights	No. of	Total		nber of		mber of	Number of
	of the	(11)	shareh		paid-up		shares	ding %	ea	ach clas	ss of s	securities	Shares	shareholdi		ked in		hares	equity
	Shareholders	. ,	older	paid	equity	underlyin		calculat		(1.	X)		Underlyin	g , as a %		ares		dged	shares
	(1)		(111)	up	shares	Depositor		d as per SCRR,					Outstandin		()	(II)		nerwise	
				equity	held	Receipts	IV+V+VI	SCRR,					convertibl	full				umbere	
				share	(V)	(VI)	)	1957					securities					XIII)	ed form
				s held				As a %	Nọ ội	f Voting ts	1	Total	(including		No.	As a		As a	(XIV) (Not
				(IV)				(A+B+C2	Right	S		as a % of	Warrants)			%	(N	% of	(NOT
								· (VIII)				% Of	(X)	securities		of	o t	total	Applicable
									Clas	Clas	То	Total		as a		tot		shar	)
									S	S	t	Votin		percentag		-	ар		
									X	Ŷ	al	righta		of diluted share	-	al	pli	es	
												rights		Sildre capital)	-	Sha	са	held	
														capital) (XI)	-	r	bl	(Not	
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0	(=)		0			0
	Holder																		
2	Employee Benefit		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Trust (under SEBI																		
	(Share based																		
	Employee Benefit)																		
	Regulations, 2014)																		
	Total Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Promoter- Non																		
	Public																		
	Shareholding (C)=																		
	(C)(1)+(C)(2)																		
	$(C)(1)^{+}(C)(2)$										I								

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a	Nationality		ng/ exercise of rigl	ht of the SBO in the re	porting company	, whether direct	Date of creation /
			foreign national		or indirect* Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	acquisition of significant beneficial
1	MINOSHI MAHESHWARI	ADGPM5813J		INDIAN	30.65	30.65	30.65			
А	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017
2	ASHISH MAHESHWARI	ADKPM6911H		INDIAN	30.65	30.65	30.65			
А	BALAXI OVERSEAS PRIVATE	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017

LIMITED
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Table II- Unclaim Details

## Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. No. of shareholders No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,			
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			
No. of shareholders	No of share held		

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %