- 1. Name of Listed Entity:BALAXI PHARMACEUTICALS LIMITED
- 2. Scrip Code/Name of Scrip/Class of Security: BALAXI
- 3. Share Holding Pattern Filed under: 31b
- 1. Share Holding Pattern as on : 30-Sep-2021
- 5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Categ o ry (I)	Category of sharehol der (II)	Nos. of shar (III)	No. of fully paid up shares held (IV)	No. of Partly equity shares held (V)	No. of shares underlyi Depositor Receipts (VI)		Shareho Iding as a % of (calculate per 1957) (VIII)		sec (Voting R ach class urities IX)		No. of Shares Underly Outstandi convertib securitie (includin	securities percentag diluted	Na	f <u>ked</u> II)	Sh oth enci ()	mber of ares erwise umbere (III)	demateriali ed form
							As a % (A+B+C 2)		f Votii g <u>hts</u> Cla s s eg: y	Tot al	Total as a % of (A+B + C)	Warrants (X)	<u>capital)</u> (XI)= As a % (A+B+C 2)	No (a)	As a % of tot al Sh ar es hel d (b)	N 0. (a)	As a % of total Shar e s held (b)	<u>(XIV)</u>
А	Promoter & Promoter Group	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354
В	Public	4139	2713646	0	0	2713646	27.14	2713646	0	2713646	27.14	0	27.14		0			2662186
С	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0		0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	4142	10000000	0	0	10000000	100	1000000 0	0	1000000 0	100	0	100	5060000	50.6			9948540

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category &	PAN	No. of	No. of	Partly	Nos. of	Total	Shareho	Num	ber of V	oting	Rights	No. of	Sharehold	Nun	nber of	Num	ber of	Number of
	of the	(11)	shareh	fully	paid-up	shares	nos.	dina %	ea	ach clas	ss of s	securities	Shares	g , as a %		ked in			equity
	Shareholders (I)	5	older (III)	paid up	equity	underlyin Depositor	shares held	calculat d as per		(1	X)		Underlyin Outstandin		sn O	ares (II)	pledg other	lea rwise	shares in
	(7)		(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	equity	held	Receipts	(VII =	SCRR,					convertibl	conversio		,	encun	nbere	demateriali
				share	(V)	(VI) [*]	ĮV+V+VI	1957	1				securities	of			(XII	1)	ed form
				s held (IV))	Aş a %	No of Right	Voting	9	Total	(including Warrants)	convertibl securities	N 0.	As a	N A 0. 4	Ása	(XIV)
				(14)				(A+B+C2	l	.5		as a % of	(X)	as a	(a	%	12	% of	
								(VIII)	Clas	Clas	То	Total		percentag of diluted)	of) (total	
									s X	s Y	t	Votin		of diluted share	_	tot al		shar es	
									X	Ŷ	al	g rights		capital)		Sha		e s held	
														(XI) = (VII)+(X) as		sna r		(b)	
														(VII)+(X) as % of		es		(0)	
														A+B+C2	_	hel			
																d			
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
а	Individuals/Hindu		2	286354	0	0	286354	2.86	286354		2863	2.86	0	2.86	60036	20.97			286354
	undivided Family	1 D C D	1	105500	0	0	105500	1.06	105500		54	1.07	0	1.07	0	0			105500
	MINOSHI	ADGP	1	105580	0	0	105580	1.06	105580		1055	1.06	0	1.06	0	0			105580
	MAHESHWARI ASHISH	M5813J	1	100774	0	0	100774	1.01	100774		80	1.01	0	1.01	(002(22.21			100774
		ADKP M6911	1	180774	0	0	180774	1.81	180774	0	1807	1.81	0	1.81	60036	33.21			180774
	MAHESHWARI	H									74								
h	Central	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
U	Government/ State		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Government(s)																		
с	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
č	Institutions/ Banks		0	v	•	0	0	°	Ŭ	0	Ŭ	°	0	0	Ũ	0			0
d	Any Other		1	7000000	0	0	7000000	70	700000	0	7000	70	0	70	49999	71.43			7000000
	(specify)								0		000				64				
	Bodies Corporate		1	7000000	0	0	7000000	70	700000	0	7000	70	0	70	49999	71.43			7000000
									0		000				64				
	BALAXI	AAEC	1	7000000	0	0	7000000	70	700000	0	7000	70	0	70	49999	71.43			7000000
	OVERSEAS	A0526							0		000				64				
	PRIVATE	G																	
	LIMITED																		
	Sub-Total (A)(1)		3	7286354	0	0	7286354	72.86	728635		7286	72.86	0	72.86	50600	69.44			7286354
	F :		0	0	0	0	0	0	4	0	354	0	0	0	00	0	\vdash		0
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
а	Individuals (Non-		U	U	0	U	0	0	0	U	U	U	U	U	0	U			0
	Resident Individuals/																		
	Foreign																		
	Individuals)																		
h	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
υ	Government		v	v	v	v	v	v	V	v	V	v	v	v	v	v			v

с	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Foreign Portfolio	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Investor																
e	Any Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	(specify)																
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Total Shareholding	3	7286354	0	0	7286354	72.86	728635	0	7286	72.86	0	72.86	50600	69.44	,	7286354
	of Promoter and							4		354				00			
	Promoter Group																
	(A)=																
	(A)(1)+(A)(2)																

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PA N (II)	Nos. of share h older (III)	No. of fully paid up equit y	Partly paid- up equit y share s	Nos. of shares underlyi ng Deposito ry Receipt	Total nos. share s held <u>VII =</u> <u>IV+V+V</u> I	Shareh ol ding % calcula te d as per SCRR,		secu (l.	/oting Ri ch class rities X) Rights		No. of Shares Underlyi ng Outstandi ng convertib	Total sharehol din g , as a % assumin g full conversi	Loc	of ked n nres	Sh ple	mber of ares dged or As a % of total	Number of equity shares held in demateria liz ed form
				shar e s held (IV)	held (V)	s (VI)		1957 As a % of (A+B+C 2) VIII	Clas s X	Cla ss Y	Tot al	as a % of Tot	le securitie s (includin a	on of converti ble securitie s (as a	(a)	tot al Sha r	ap pli ca bl	shar e s held (Not	(XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a 1	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0		0			0
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
с	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0		0			0
e	Foreign Portfolio Investors		3	1945000	0	0	1945000	19.45	1945000	0	1945000	19.45	0	19.45		0			1945000
	ELARA INDIA OPPORTUNITIE S FUND LIMITED	AABCE 6307N	1	950000	0	0	950000	9.5	950000	0	950000	9.5	0	9.5		0			950000
		AAFC M5737 N	1	950000	0	0	950000	9.5	950000	0	950000	9.5	0	9.5		0			950000
f	Financial Institutions/ Banks		1	2632	0	0	2632	0.03	2632	0	2632	0.03	0	0.03		0			0
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0		0			0
h	Provident Funds/		0	0	0	0	0	0	0	0	0	0	0	0		0			0

	Pension Funds																
i	Any Other		0	0	0	0	0	0	0	0	0	0	0	0		0	0
	(specify)																
	Sub-Total (B)(1)		4	1947632	20	0	1947632	19.48	1947632	0	1947632	19.48	0	19.48	0	0	1945000
2	Central		0	0	0	0	0	0	0	0	0	0	0	0		0	0
	Government/ State																
	Government(s)/																
	President of India																
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		4038	629649	0	0	629649	6.29	629649	0	629649	6.29	0	6.29	0	0	580821
i	Individual		4035	368339	0	0	368339	3.68	368339	0	368339	3.68	0	3.68		0	319511
	shareholders																
	holding nominal																
	share capital up to																
	Rs. 2 lakhs.																
ii	Individual		3	261310	0	0	261310	2.61	261310	0	261310	2.61	0	2.61		0	261310
	shareholders																
	holding nominal																
	share capital in																
	excess of Rs. 2																
	lakhs.	4.12TD	1	172465	0	0	172465	1.72	172465	0	152465	1.70	0	1.72		0	172465
		AKTP	1	173465	0	0	173465	1.73	173465	0	173465	1.73	0	1.73		0	173465
	MANTRI	M5103															
h-	NBFCs registered	Г	0	0	0	0	0	0	0	0	0	0	0	0		0	
D	with RBI		0	U	0	0	0	0	0	0	0	0	0	0		0	0
0	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0		0	0
с 4	Overseas		0	0	0	0	0	0	0	0	0	0	0	0		0	0
u	Depositories		0	0	0	0	0	0	0	U	0	0	0	0		0	0
	(holding DRs)																
	(balancing figure)																
e	Any Other		97	136365	0	0	136365	1.36	136365	0	136365	1.36	0	1.36		0	136365
č	(specify)		,	150505	Ŭ	Ū.	100000	1.50	150505	U I	150505	1.50	0	1.50		Ŭ	150505
	Non-Resident		64	19758	0	0	19758	0.2	19758	0	19758	0.2	0	0.2		0	19758
	Indian (NRI)			1,00	Ĩ	Ŭ		Ŭ. -	1,00	-			-	×		Ĭ	19700
	Bodies Corporate		21	40730	0	0	40730	0.41	40730	0	40730	0.41	0	0.41		0	40730
	Clearing member		11	1271	0	0	1271	0.01	1271	0	1271	0.01	0	0.01		0	1271
	IEPF		1	74606	0	0	74606	0.75	74606	0		0.75	0	0.75		0	74606
	Sub-Total (B)(3)		4135	766014	0	0	766014	7.65	766014	0		7.65	0	7.65	0	0	717186
	Total Public		4139	2713646	50	0	2713646	27.13	2713646	0	2713646		0	27.13	0	0	2662186
	Shareholding (B)=					Ŭ				-		Γ	-		Ŭ	Ĩ	
	(B)(1)+(B)(2)+(B)																
	(3)																

	Category &	PAN		No. of		Nos. of	Total	Shareho	Num	ber of V	oting	Rights	No. of	Total		nber of		mber of	Number of
	of the	(11)	shareh		paid-up		shares	ding %	ea	ach clas	ss of s	securities	Shares	shareholdi		ked in		hares	equity
	Shareholders	. ,	older	paid	equity	underlyin		calculat		(1.	X)		Underlyin	g , as a %		ares		dged	shares
	(1)		(111)	up	shares	Depositor		d as per SCRR,					Outstandin		()	(II)		nerwise	
				equity	held	Receipts	IV+V+VI	SCRR,					convertibl	full				umbere	
				share	(V)	(VI))	1957					securities					XIII)	ed form
				s held				As a %	Nọ ội	f Voting ts	1	Total	(including		No.	As a		As a	(XIV) (Not
				(IV)				(A+B+C2	Right	S		as a % of	Warrants)			%	(N	% of	(NOT
								· (VIII)				% Of	(X)	securities		of	o t	total	Applicable
									Clas	Clas	То	Total		as a		tot		shar)
									S	S	t	Votin		percentag		-	ар		
									X	Ŷ	al	righta		of diluted share	-	al	pli	es	
												rights		Sildre capital)	-	Sha	са	held	
														capital) (XI)	-	r	bl	(Not	
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0	(=)		0			0
	Holder																		
2	Employee Benefit		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Trust (under SEBI																		
	(Share based																		
	Employee Benefit)																		
	Regulations, 2014)																		
	Total Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Promoter- Non																		
	Public																		
	Shareholding (C)=																		
	(C)(1)+(C)(2)																		
	$(C)(1)^{+}(C)(2)$										I								

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a	Nationality	Details of holdi or indirect*	ng/ exercise of right	nt of the SBO in the re	porting company	, whether direct	Date of creation /
			foreign national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	acquisition of significant beneficial interest
1	MINOSHI MAHESHWARI	ADGPM5813J		INDIAN	30.65	30.65	30.65			
A	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017
2	ASHISH MAHESHWARI	ADKPM6911H		INDIAN	30.65	30.65	30.65			
А	BALAXI OVERSEAS	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017

PRIVATE					
LIMITED					

Table II- Unclaim Details

Details of Shares which remain unclaimed may be give	en here along with details such as number of shareholders,							
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.								
No. of shareholders	No of share held							

Table III- Unclaim Details

Details of Shares which remain unclaimed may be give	Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,										
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.											
No. of shareholders No of share held											

Table III- Person in Concert

Details of the sha	reholders acting as perso	ns in Concert including th	eir Shareholding
Name of shareholder	Name of PAC	No. of share	Holding %