- 1. Name of Listed Entity:BALAXI PHARMACEUTICALS LIMITED
- 2. Scrip Code/Name of Scrip/Class of Security: BALAXI
- 3. Share Holding Pattern Filed under: 31b
- 1. Share Holding Pattern as on : 31-Mar-2021
- **5. Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Catego	Category of	Nos. of	No. of fully	No. of	No. of	Total	Shareholding	Nun	nber of \	oting Rig	hts	No. of	Shareholding,	Numb	er of	Nun	nber of	Number of
ry (I)	shareholder	shareh	paid up	Partly	shares	nos.	as a % of total	h		ch class	of	Shares	as a % assuming	Lock		_	ares	equity
(1)	(II)	olders	equity	paid-up	underlying	shares	no. of shares			ırities		Underlying	full conversion	sha	res		ged or	shares held
		(III)	shares	equity	Depository	held	(calculated as		(1	X)		Outstanding	of convertible				erwise	in
			held	shares	Receipts	(VII) =	per SCRR,					convertible	securities (as a	<u>(X</u>	<u>II)</u>		mbered	dematerializ
			(IV)	held	(VI)	(IV)+(V)+	1957)					securities	percentage of			C	XIII)	ed form
				(V)		-(VI)	(VIII)					(including	diluted share		_			
							As a % of		of Voti	ng	Tot	Warrants)	capital)	No.	As a	No.	As a	<u>(XIV)</u>
							(A+B+C2)		Rights		al	(X)	0.00 0.00	(a)	% of	(a)	% of	
								Clas	Clas	Tot	as		(XI) = (VII) + (X)		total		total	
								S	s	al	а %		As a % of		Shar		Share s held	
								eg: X	eg:y		of		(A+B+C2)		es held			
								, x			(A+						(b)	
											B+				(b)			
											C)							
											٠,							
Α.	Promoter & Promoter	2	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354
^	Group	Γ	7280334	O	ľ	7200334	72.00	1200334	U	7200334	72.00	ľ	72.00	3000000	07.44			7200334
R		2633	2713646	0	n	2713646	27.14	2713646	0	2713646	27 14	0	27.14		0			2662036
C	Non Promoter- Non	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Public		Ĭ			Ö		Ů	o	Ü	ľ		O .	o .	O			
C1	Shares underlying	0	n	0	0	0		0	0	0	0	0	0		0			0
	DRs	ľ	ľ		ľ	ľ		ľ			ľ							
C2	Shares held by	0	0	0	0	0	0	0	0	0	0	0	0		0			0
2	Employee Trusts	ľ	ľ		ľ	ľ		ľ			ľ							
		2636	10000000	0	0	10000000	100	1000000	0	10000000	100	0	100	5060000	50.6			9948390
	10111	2030	1000000		ľ	1000000	100	0		1000000	100		100	2000000	50.0			77 10370
L	I	l	l .	l	<u> </u>	1	1	ı~	1	L	·	<u> </u>	l .	1	1	LI		1

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numb	er of Votir	ng Rights	held in	No. of	Shareholdin	Numi	ber of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	nos.	ding %		ch class			Shares	g, as a %	Lock	red in	St	nares	equity
	Shareholders	1 ' '	older	paid	equity	underlying	shares	calculate		(1	X)		Underlying	assuming		ares	plea	lged or	shares held
	(1)		(III)	ир	shares	Depository	held	d as per					Outstanding	full	()	(II)	otherwise		in
				equity	held	Receipts	(VII =	SCRR,					convertible	conversion				mbered	dematerializ
				share	(V)	(VI)	IV+V+VI)	1957					securities	of				XIII)	ed form
				s held				4 0/ 5	No of	Voting Ri	ghts	Total	(including	convertible	No.	Asa	No.	As a	(XIV)
				(IV)				As a % of (A+B+C2)				as a % of	Warrants)	securities ((a)	% of	(a)	% of	
								(VIII)			,	% or Total	(X)	as a percentage	-	total Shar		total share	
								(*****)	Class	Class	Tot	Voting		of diluted	1	es		s held	
									X	Y	al	rights		share	1	held		(b)	
														capital)	1	(b)		()	
														(XI) =		, ,			
														(VII)+(X) as a					
														% of					
														A+B+C2					
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals/Hindu		2	286354	0	0	286354	2.86	286354	0	286354	2.86	0	2.86	60036	20.97			286354
	undivided Family																		
	MINOSHI	ADGPM5	1	105580	0	0	105580	1.06	105580	0	105580	1.06	0	1.06	0	0			105580
	MAHESHWARI	813J																	
	ASHISH	ADKPM6	1	180774	0	0	180774	1.81	180774	0	180774	1.81	0	1.81	60036	33.21			180774
	MAHESHWARI	911H																	
b	Central Government/		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	State Government(s)																		
С	Financial Institutions/		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
.1	Banks Any Other (specify)		1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.42			7000000
a	Bodies Corporate		1	7000000	0	0	7000000	70	7000000	0	7000000		0	70	4999964				7000000
	BALAXI OVERSEAS	AAECAO	1	7000000	0	0	7000000	70	7000000	0	7000000		0	70	4999964				7000000
	PRIVATE LIMITED	526G	1	700000	O	ľ	7000000	70	7000000	U	7000000	70	O	70	7777707	/1.43			7000000
	Sub-Total (A)(1)	2200	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals (Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Resident Individuals/																		
	Foreign Individuals)																		
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
с	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Portfolio		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Investor		0		0	0	0	0	0	0	0		0	0		0			0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
-	Sub-Total (A)(2)		2	7297254	0	0	7296254	72.96	7297254	0	729/254	72.96	0	72.96	500000	0 11			7296254
	Total Shareholding of		3	7286354	U	U	7286354	72.86	7286354	U	7286354	/2.86	U	72.86	5060000	69.44			7286354
	Promoter and Promoter Group (A)=																		
	(A)(1)+(A)(2)																		
	(11)(1) (11)(2)	1		1		1	1	I	1		ı	l .	1	1		1	1	1	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957	ea	ach class (I	of secu X)		No. of Shares Underlying Outstanding convertible securities	Total shareholdin g , as a % assuming full conversion	Lock sha (X	ares (II)	Sh pled othe encu	nber of nares lged or erwise mbered XIII)	Number of equity shares held in dematerializ ed form
				s held (IV)				As a % of (A+B+C2) VIII	No of	Voting Ri	ghts	Total as a % of Total	(including Warrants) (X)	of convertible securities	No. (a)	As a % of total	No. (No t	As a % of total	(XIV)
									Class X	Class Y	Tot al	Voting rights		(as a percentage of diluted share capital) (XI)		Shar es held (b)	ap pli ca ble) (a)	share s held (Not applic able) (b)	
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0		0			0
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
С	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0		0			0
e	Foreign Portfolio Investors		3	1945000	0	0	1945000	19.45	1945000	0	194500 0	19.45	0	19.45		0			1945000
		AABCE63 07N	1	950000	0	0	950000	9.5	950000	0	950000	9.5	0	9.5		0			950000
	MARSHAL GLOBAL CAPITAL FUND LIMITED	AAFCM5 737N	1	950000	0	0	950000	9.5	950000	0	950000	9.5	0	9.5		0			950000
f	Financial Institutions/ Banks		1	2632	0	0	2632	0.03	2632	0	2632	0.03	0	0.03		0			0
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0		0			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0		0			0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Sub-Total (B)(1)		4	1947632	0	0	1947632	19.48	1947632	0	194763 2	19.48	0	19.48	0	0			1945000
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals -		2509	628007	0	0	628007	6.28	628007	0	628007		0	6.28	0	0			579029
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		2505	349231	0	0	349231	3.49	349231	0	349231	3.49	0	3.49		0			301803
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	278776	0	0	278776	2.79	278776	0	278776	2.79	0	2.79		0			277226
	AMOL ANAND MANTRI	AKTPM5 103F	1	173465	0	0	173465	1.73	173465	0	173465	1.73	0	1.73		0			173465
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0		0			0
с	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0		0			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0		0		_	0
e	Any Other (specify)		120	138007	0	0	138007	1.38	138007	0	138007	1.38	0	1.38		0			138007

Director or Director's Relatives	1	4605	0	0	4605	0.05	4605	0	4605	0.05	0	0.05		0	4605
Bodies Corporate	16	36253	0	0	36253	0.36	36253	0	36253	0.36	0	0.36		0	36253
Non-Resident Indian (NRI)	41	14492	0	0	14492	0.14	14492	0	14492	0.14	0	0.14		0	14492
Clearing member	22	3013	0	0	3013	0.03	3013	0	3013	0.03	0	0.03		0	3013
HUF	39	5038	0	0	5038	0.05	5038	0	5038	0.05	0	0.05		0	5038
IEPF	1	74606	0	0	74606	0.75	74606	0	74606	0.75	0	0.75		0	74606
Sub-Total (B)(3)	2629	766014	0	0	766014	7.66	766014	0	766014	7.66	0	7.66	0	0	717036
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	2633	2713646	0	0	2713646	27.14	2713646	0	271364 6	27.14	0	27.14	0	0	2662036

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	er of Votin	g Righ	ts held in	No. of	Total	Numl	ber of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class o	of secu	rities	Shares	shareholdin	Lock	ed in	SI	nares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(L	X)		Underlying	g, as a %	sha	ares	plea	lged or	shares held
	(1)		(III)	ир	shares	Depository	(VII =	d as per					Outstanding	assuming	(X	(II)	oth	erwise	in
				equity	held	Receipts	IV+V+VI)	SCRR,					convertible	full				mbered	dematerializ
				share	(V)	(VI)		1957					securities	conversion				XIII)	ed form
				s held				As a % of	No of	Voting Rig	ghts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)				a % of	Warrants)	convertible		% of	(No	% of	(Not
								(VIII)				Total	(X)	securities (total	t	total	Applicable)
									Class	Class	Tot	Voting		as a		Shar	ар	share	
									X	Υ	al	rights		percentage		es	pli	s held	
														of diluted		held	ca	(Not	
														share			ble	applic	
														capital))	able)	
														(XI)					
1	Custodian/DR Holder		0	0	0	0	0		0	0	0	0	0			0			0
2	Employee Benefit Trust		0	0	0	0	0	0	0	0	0	0	0	0		0			0
	(under SEBI (Share																		
	based Employee																		
	Benefit) Regulations,																		
	2014)																		
	Total Non-Promoter-		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Non Public																		
	Shareholding (C)=																		
	(C)(1)+(C)(2)																		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in	assport No. in Nationality Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					direct*	Date of creation / acquisition of		
			national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant		
1	MINOSHI MAHESHWARI	ADGPM5813J		INDIAN	30.65	30.65	30.65					
A	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017		
2	ASHISH MAHEHSWARI	ADKPM6911H		INDIAN	30.65	30.65	30.65					
A	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017		

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along	Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in									
demat/unclaimed suspense acco	ount, voting rights which are frozen etc.									
No. of shareholders No of share held										

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in										
demat/unclaimed suspense acco	demat/unclaimed suspense account, voting rights which are frozen etc.									
No. of shareholders No of share held										

Table III- Person in Concert

Details of the sh	areholders acting as persons in Con	cert including their Shareholding	
Name of shareholder	Name of PAC	No. of share	Holding %