

Shareholding Pattern

31st December 2020

1. Name of Listed Entity: BALAXI PHARMACEUTICALS LIMITED
2. Scrip Code/Name of Scrip/Class of Security: BALAXI
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+-(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44			7286354
B	Public	1948	2713646	0	0	2713646	27.14	2713646	0	2713646	27.14	0	27.14		0			2657036
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0	0		0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0		0			0
	Total	1951	10000000	0	0	10000000	100	10000000	0	10000000	100	0	100	5060000	50.6			9943390

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of shares held older (III)	No. of fully paid up equity shares held (IV)	Parti paid-equity held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = IV+V+VI	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1	Indian	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals/Hindu undivided Family	2	286354	0	0	286354	2.86	286354	0	286354	2.86	0	2.86	60036	20.97			286354
	MINOSHI MAHESHWARI	ADGPM5813J	1	105580	0	0	105580	1.06	105580	0	105580	1.06	0	1.06	0	0		105580
	ASHISH MAHESHWARI	ADKPM6911H	1	180774	0	0	180774	1.81	180774	0	180774	1.81	0	1.81	60036	33.21		180774
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Any Other (specify)		1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43		7000000
	Bodies Corporate		1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43		7000000
	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G	1	7000000	0	0	7000000	70	7000000	0	7000000	70	0	70	4999964	71.43		7000000
	Sub-Total (A)(1)		3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44		7286354
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	7286354	0	0	7286354	72.86	7286354	0	7286354	72.86	0	72.86	5060000	69.44		7286354

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Foreign Portfolio Investors		3	1945000	0	0	1945000	19.45	1945000	0	1945000	19.45	19.45	0	0	0	0	1945000	
	ELARA INDIA OPPORTUNITIES FUND LIMITED	AABCE6307N	1	950000	0	0	950000	9.5	950000	0	950000	9.5	9.5	0	0	0	0	950000	
	MARSHAL GLOBAL CAPITAL FUND LIMITED	AAFCE5737N	1	950000	0	0	950000	9.5	950000	0	950000	9.5	9.5	0	0	0	0	950000	
f	Financial Institutions/ Banks		1	2632	0	0	2632	0.03	2632	0	2632	0.03	0.03	0	0	0	0	0	
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub-Total (B)(1)		4	1947632	0	0	1947632	19.48	1947632	0	1947632	19.48	19.48	0	0	0	0	1945000	
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals -		1849	611563	0	0	611563	6.11	611563	0	611563	6.11	6.11	0	0	0	0	557585	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		1844	305187	0	0	305187	3.05	305187	0	305187	3.05	3.05	0	0	0	0	252759	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	306376	0	0	306376	3.06	306376	0	306376	3.06	3.06	0	0	0	0	304826	
	AMOL ANAND MANTRI	AKTPM5103F	1	179065	0	0	179065	1.79	179065	0	179065	1.79	1.79	0	0	0	0	179065	
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Any Other (specify)		95	154451	0	0	154451	1.54	154451	0	154451	1.54	1.54	0	0	0	0	154451	

Director or Director's Relatives	2	22000	0	0	22000	0.22	22000	0	22000	0.22	0	0.22	0				22000
Bodies Corporate	19	38899	0	0	38899	0.39	38899	0	38899	0.39	0	0.39	0				38899
Clearing member	21	2583	0	0	2583	0.03	2583	0	2583	0.03	0	0.03	0				2583
HUF	29	3188	0	0	3188	0.03	3188	0	3188	0.03	0	0.03	0				3188
IEPF	1	74606	0	0	74606	0.75	74606	0	74606	0.75	0	0.75	0				74606
Non-Resident Indian (NRI)	23	13175	0	0	13175	0.13	13175	0	13175	0.13	0	0.13	0				13175
Sub-Total (B)(3)	1944	766014	0	0	766014	7.65	766014	0	766014	7.65	0	7.65	0	0			712036
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	1948	2713646	0	0	2713646	27.13	2713646	0	2713646	27.13	0	27.13	0	0			2657036

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shares older (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % of full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	MINOSHI MAHESHWARI	ADGPM5813J		INDIAN	30.65	30.65	30.65			
A	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017
2	ASHISH MAHESHWARI	ADKPM6911H		INDIAN	30.65	30.65	30.65			
A	BALAXI OVERSEAS PRIVATE LIMITED	AAECA0526G		INDIAN	30.65	30.65	30.65	Yes	Yes	04-May-2017

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %