

Balaxi Pharmaceuticals Limited

April 13, 2023

To,
Listing Department,
National Stock Exchange of India Limited
Exchange Plaza, Plot No C-1, Block G, Bandra Kurla Complex,
Bandra (E), Mumbai – 400051

NSE Symbol: BALAXI

Subject: Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2023.

Dear Sir/Madam,

Please find enclosed the Certificate as required under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the financial year ended March 31, 2023. The certificate has been issued by Mr. Yogindunath S of BVR & Associates Company Secretaries LLP.

This is for your information and records.

Yours Faithfully,

For **Balaxi Pharmaceuticals Limited**

Udayan Shukla
(Company Secretary & Compliance Officer)
Membership No.: F11744

Encl: As above

Registered Office:

Plot No. 409, H. No. 8-2-293, Maps Towers, 3rd Floor, Phase III, Road No. 81, Jubilee Hills, Hyderabad (T.G.) - 500 096

CIN: L25191TG1942PLC121598

Phone: +91 40 23555300 | Email: info@balaxi.in | Website: www.balaxipharma.in

Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2023

We have examined all Share Transfer Deeds, Memorandum of Transfers, Register, files and other documents relating to **Balaxi Pharmaceuticals Limited** maintained by Registrar and Share Transfer Agents, Aarthi Consultants Private Limited (SEBI Reg. no. INR000000379), situated at 1-2-285, Domalguda, Hyderabad-500029, pertaining to the transfer of equity shares of the company for the period from **April 01, 2022 to March 31, 2023** for the purpose of issuing a certificate as per the Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the company/ RTA, we hereby certify that during the period from April 01, 2022 to March 31, 2023:

- a. No request for physical transfer was received during the period.
- b. Letter of Confirmation in respect of request(s) for transmission of shares during the period have been issued within stipulated time as prescribed under Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- c. No request for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies were received during the period.

For BVR & Associates Company Secretaries LLP

YOGINDUNATH. S.
Designated Partner
BVR & Associates Company Secretaries LLP
"Swastika", Chitteth Building
PC Road, Ponnuranni (E)
Vytila P.O., Cochin - 682 019
C P No: 9137, FCS 7865
LLP IN AAE - 7079

Date: 13-04-2023

Place: Ernakulam


CS Yogindunath S
Designated Partner
Membership No. 7865
CP No. 9137
UDIN: F007865E000082159

